

Authority Budget of: **ADOPTED COPY**

Rockaway Valley Regional Sewerage Authority

State Filing Year **2020**

For the Period:

January 1, 2020 to December 31, 2020

www.rvrsa.org
Authority Web Address

APPROVED COPY



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Division of Local Government Services

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2020 (2020-2021) AUTHORITY BUDGET

Certification Section

APPROVED COPY

2020 (2020-2021)

**ROCKAWAY VALLEY REGIONAL SEWERAGE
AUTHORITY BUDGET**

FISCAL YEAR: FROM JANUARY 1, 2020 TO DECEMBER 31, 2020

For Division Use Only

CERTIFICATION OF APPROVED BUDGET

It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S.A. 40A:5A-11.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: Paul D. Cwert CPA, RMA Date: 10/25/2019

CERTIFICATION OF ADOPTED BUDGET

It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: Paul D. Cwert CPA, RMA Date: 11/19/2019

2020 (2020-2021) PREPARER'S CERTIFICATION

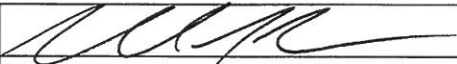
ROCKAWAY VALLEY REGIONAL SEWERAGE

AUTHORITY BUDGET

FISCAL YEAR: FROM: JAN. 1, 2020 TO: DEC. 31, 2020

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that: all estimates of revenue are reasonable, accurate and correctly stated; all items of appropriation are properly set forth; and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all required schedules are completed and attached.

Preparer's Signature:			
Name:	PAUL J. CUVA (1)		
Title:	AUDITOR		
Address:	401 WANAQUE AVENUE POMPTON LAKES, NJ 07442		
Phone Number:	973-835-7900	Fax Number:	973-835-6631
E-mail address	<u>FWCC@OPTONLINE.NET</u>		

- (1) I have assisted in the preparation of this budget with information provided to me by the Rockaway Valley Regional Sewerage Authority.

2020 (2020-2021) APPROVAL CERTIFICATION

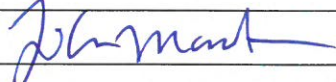
ROCKAWAY VALLEY REGIONAL SEWERAGE

AUTHORITY BUDGET

FISCAL YEAR: FROM: JAN. 1, 2020 TO: DEC. 31, 2020

It is hereby certified that the Authority Budget, including all schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the Rockaway Valley Regional Sewerage Authority, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 10th day of October, 2019.

It is further certified that the recorded vote appearing in the resolution represents not less than a majority of the full membership of the governing body thereof.

Officer's Signature:			
Name:	JoAnn Mondsini		
Title:	Executive Director		
Address:	RD1, 99 Greenbank Road Boonton, NJ 07005		
Phone Number:	973-263-1555X211	Fax Number:	973-263-1555X211
E-mail address	Jmondsini@rvrsa.org		

INTERNET WEBSITE CERTIFICATION

Authority's Web Address:	www.rvrsa.org
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All authorities shall maintain either an Internet website or a webpage on the municipality's or county's Internet website. The purpose of the website or webpage shall be to provide increased public access to the authority's operations and activities. N.J.S.A. 40A:5A-17.1 requires the following items to be included on the Authority's website at a minimum for public disclosure. Check the boxes below to certify the Authority's compliance with N.J.S.A. 40A:5A-17.1.

- A description of the Authority's mission and responsibilities
- Budgets for the current fiscal year and immediately preceding two prior years
- The most recent Comprehensive Annual Financial Report (Unaudited) or similar financial information (**Similar Information is such as PIE Charts, Bar Graphs etc. for such items as Revenues, Expenditures, and other information the Authority deems relevant to inform the public**)
- The complete (**All Pages**) annual audits (**Not the Audit Synopsis**) of the most recent fiscal year and immediately two prior years
- The Authority's rules, regulations and official policy statements deemed relevant by the governing body of the authority to the interests of the residents within the authority's service area or jurisdiction
- Notice posted pursuant to the "Open Public Meetings Act" for each meeting of the Authority, setting forth the time, date, location and agenda of each meeting
- The approved minutes of each meeting of the Authority including all resolutions of the board and their committees; for at least three consecutive fiscal years
- The name, mailing address, electronic mail address and phone number of every person who exercises day-to-day supervision or management over some or all of the operations of the Authority
- A list of attorneys, advisors, consultants and any other person, firm, business, partnership, corporation or other organization which received any remuneration of \$17,500 or more during the preceding fiscal year for any service whatsoever rendered to the Authority.

It is hereby certified by the below authorized representative of the Authority that the Authority's website or webpage as identified above complies with the minimum statutory requirements of N.J.S.A. 40A:5A-17.1 as listed above. A check in each of the above boxes signifies compliance.

Name of Officer Certifying compliance

JOANN MONDSINI

Title of Officer Certifying compliance

EXECUTIVE DIRECTOR

Signature



Resolution 19-096

**2020 AUTHORITY BUDGET RESOLUTION
ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY
FISCAL YEAR PERIOD JANUARY 1, 2020 THROUGH DECEMBER 31, 2020**

WHEREAS, the Annual Budget and Capital Budget for the Rockaway Valley Regional Sewerage Authority for the fiscal year period beginning January 1, 2020 and ending December 31, 2020 has been introduced before the Board of the Rockaway Valley Regional Sewerage Authority at its open public regular meeting of October 10, 2019; and

WHEREAS, the Annual Budget as introduced reflects Total Revenues of \$10,371,735 and Total Appropriations, including any Accumulated Deficit if any, of \$10,571,735 and Total Unreserved Retained Earnings utilized of \$ 200,000; and

WHEREAS, the Capital Budget as introduced reflects Total Capital Appropriations of \$27,037,499.97, Total Debt Authorized of \$24,686,499.97, Total Unrestricted Net Position of \$1,631,000, Total Unrestricted Net Position-Designated for Renewal and Replacement of \$720,000 planned to be utilized as funding thereof; and

WHEREAS, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation of terms of contracts and agreements; and

WHEREAS, the Capital Budget/Program pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

NOW, THEREFORE BE IT RESOLVED, by the members of the Rockaway Valley Regional Sewerage Authority, at its open public regular meeting held on October 10, 2019 that the Annual Budget, including Supplemental Schedules, and the Capital Budget/Program of the Rockaway Valley Regional Sewerage Authority for the fiscal year beginning January 1, 2020 and ending December 31, 2020 is hereby approved; and

BE IT FURTHER RESOLVED, that the anticipated revenues as reflected in the Annual Budget are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the said Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements; and

BE IT FURTHER RESOLVED, that the members of the Rockaway Valley Regional Sewerage Authority will consider the Annual Budget and Capital Budget/ Program for adoption on November 14, 2019.

I certify that this resolution was adopted at a regular meeting of the Rockaway Valley Regional Sewerage Authority held on October 10, 2019.

On the motion of Mr. Glenn Corbett

Second by Mr. Michael Guadagno

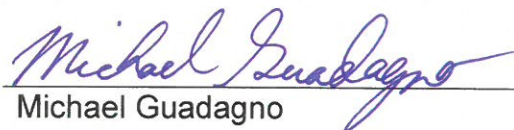
And a roll call vote of:

Yeas: (10) Andes, Cegelka, Corbett, Guadagno, Isselin, Lowell, Recchia, Rossi, Schorno,
Zuppa

Nays: (0) None

Abstain: (0) None

Absent: (0) None



Michael Guadagno

Board Secretary

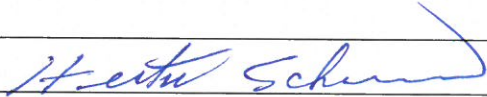
2020 (2020-2021) ADOPTION CERTIFICATION

ROCKAWAY VALLEY REGIONAL SEWERAGE

AUTHORITY BUDGET

FISCAL YEAR: FROM: Jan 01, 2020 TO: Dec 31, 2020

It is hereby certified that the Authority Budget and Capital Budget/Program annexed hereto is a true copy of the Budget adopted by the governing body of the Rockaway Valley Regional Sewerage Authority, pursuant to N.J.A.C. 5:31-2.3, on the 14th day of, November, 2019.

Officer's Signature:			
Name:	HECTOR SCHORNO		
Title:	BOARD CHAIRMAN		
Address:	RD 1. 99 GREENBANK ROAD BOONTON, NJ 07005		
Phone Number:	973-263-1555	973-263-9068	
E-mail address	HSCHORNO@RVRSA.ORG JARHED57@HOTMAIL.COM		

Resolution # 19-110

**2020 ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY
ADOPTED BUDGET RESOLUTION**

FISCAL YEAR: FROM JANUARY 1, 2020 TO DECEMBER 31, 2020

WHEREAS, the Annual Budget and Capital Budget/Program for the Rockaway Valley Regional Sewerage Authority for the fiscal year beginning January 1, 2020 and ending December 31, 2020 has been presented for adoption before the governing body of the Rockaway Valley Regional Sewerage Authority at its open public meeting of November 14, 2019; and

WHEREAS, the Annual Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

WHEREAS, the Annual Budget as presented for adoption reflects Total Revenues of \$10,371,735, Total Appropriations, including any Accumulated Deficit if any, of \$10,571,735 and Total Unrestricted Net Position utilized of \$200,000; and

WHEREAS, the Capital Budget as presented for adoption reflects, Total Capital Appropriations of \$27,037,499.97, and Total Unrestricted Net Position of \$1,631,000; Total Debt Authorized of \$24,686,499.97; Total Other Source: Unrestricted Net Position –Designated for Renewal and Replacement of \$720,000 planned to be utilized as funding thereof; and

NOW, THEREFORE BE IT RESOLVED, by the governing body of Rockaway Valley Regional Sewerage Authority, at an open public meeting held on November 14, 2019 that the Annual Budget and Capital Budget/Program of the Rockaway Valley Regional Sewerage Authority for the fiscal year beginning January 01, 2020 and ending December 31, 2020 is hereby adopted and shall constitute appropriations for the purposes stated; and

BE IT FURTHER RESOLVED, that the Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.

CERTIFICATION

I hereby certify that this Resolution was adopted at a meeting of the Rockaway Valley Regional Sewerage Authority held on November 14, 2019.

On motion of: *Glen Carbett*

Seconded by: *Thomas Andes*

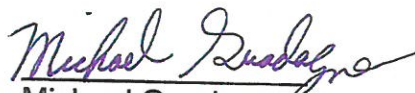
And a Roll Call Vote as follows:

Yeas: 10 (*Andes, Cegelka, Carbett, Guadagno, Isselin, Lowell, Recchia, Rossi, Schorno, Zuppa*)

Nays: 0 (*NONE*)

Abstain: 0 (*NONE*)

Absent: 0 (*NONE*)


Michael Guadagno
Board Secretary

2020 (2020-2021) AUTHORITY BUDGET

Narrative and Information Section

2020 (2020-2021) AUTHORITY BUDGET MESSAGE & ANALYSIS

ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY BUDGET

FISCAL YEAR: FROM: JAN. 1, 2020 TO: DEC. 31, 2020

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2020/2020-2021 proposed Annual Budget and make comparison to the 2019/2019-2020 adopted budget for each Revenues and Appropriations. Explain any variances over +/-10% (**As shown on budget pages F-2 and F-4 explain the reason for changes for each revenue and appropriation changing more than 10%**) for each individual revenue and appropriation line item. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item.

THE AUTHORITY IS PROPOSING A 2020 BUDGET THAT INCLUDES \$10,371,735 IN ANTICIPATED REVENUES (A \$510,515 OR 5.2% INCREASE OVER THE 2019 ANTICIPATED REVENUES) AND \$10,571,735 (A \$610,515 OR 6.1% PERCENT INCREASE OVER APPROPRIATIONS IN THE 2019 ADOPTED BUDGET). INCLUDED IN THE PROPOSED 2020 BUDGET IS OTHER REVENUES THAT INCLUDE INCREASES IN INDUSTRIAL APPLICATION FEES, APPLICATION & INSPECTION FEES AND UNUSED CAPACITY OF 150%, 40% AND 109.1%, RESPECTIVELY, BASED ON THE AUTHORITY'S ANTICIPATED RECEIPT OF ADDITIONAL FEES IN 2020. ANTICIPATED INTEREST EARNINGS IN THE 2020 BUDGET INCREASED 109.7% DUE TO EXPECTED RETURNS ON INVESTMENTS AND MORE FAVORABLE INTEREST RATES IN THE MARKET. PROPOSED ADMINISTRATIVE-OTHER AND COST OF PROVIDING SERVICES-OTHER APPROPRIATIONS INCREASED 14.7% AND 15.3%, RESPECTIVELY, DUE TO ANTICIPATED INCREASES IN PROFESSIONAL SERVICES NEEDED IN 2020 AND MATERIALS AND SUPPLIES NEEDED IN THE OPERATIONS DEPARTMENT. HEALTH BENEFITS (SCHEDULE N-5) IN THE PROPOSED 2020 BUDGET INCREASED 10.0% DUE TO THE ANTICIPATION OF ONE EMPLOYEE ENROLLING INTO SINGLE COVERAGE HEALTH BENEFITS AND THE ANTICIPATION OF REPLACING ONE EMPLOYEE IN 2020.

2. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program. (**Example Consider New Development projects such as Housing /Commercial projects impact on the Authorities expenses or revenues**)

THE LOCAL ECONOMY HAS BEEN STEADILY IMPROVING IN THE AREAS SERVICED BY THE AUTHORITY. THE ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY HAS CONSIDERED THIS FACTOR WHILE PREPARING THE 2020 BUDGET.

3. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget and or Capital Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

THE AUTHORITY IS UTILIZING \$200,000 IN UNRESTRICTED NET POSITION IN THE OPERATING BUDGET AND \$1,631,000 IN THE CAPITAL BUDGET IN ORDER TO KEEP PARTICIPANT CHARGES STABLE.

4. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service payments, pilot payments, or other types of contracts or agreements (Example to provide police services to the Authority etc. and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

NOT APPLICABLE.

5. The proposed budget must not reflect an anticipated deficit from 2020/2020-2021 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

THE AUTHORITY REPORTED A \$13,037,444 DEFICIT IN UNRESTRICTED, UNDESIGNATED NET POSITION IN ITS AUDIT FOR THE YEAR ENDING DECEMBER 31, 2018. \$6,961,997 OF THIS DEFICIT IS DIRECTLY ATTRIBUTABLE TO THE IMPLEMENTATION OF GASB STATEMENT NO. 68. \$9,159,991 OF THIS DEFICIT IS DIRECTLY ATTRIBUTABLE TO THE IMPLEMENTATION OF GASB STATEMENT NO. 75. \$3,084,544 OF THE REMAINING UNRESTRICTED, UNDESIGNATED NET POSITION WAS AVAILABLE FOR USE IN FUTURE BUDGETS AS OF DECEMBER 31, 2018. THE AUTHORITY WILL CONTINUE TO PAY THE ANNUAL PENSION AND HEALTH INSURANCE BILLS AND OVER TIME, THE INCREASING AMOUNTS OF THESE PAYMENTS WILL FUND THE RESPECTIVE DEFICITS.

6. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) **if it has been changed since the prior year budget submission** and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable. (If no changes to fees or rates indicate (Answer as "Rates Are Staying the Same")

NOT APPLICABLE.

AUTHORITY CONTACT INFORMATION 2020 (2020-2021)

Please complete the following information regarding this Authority. All information requested below must be completed.

Name of Authority:	ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY		
Federal ID Number:	22-1950825		
Address:	RD. 1, 99 GREENBANK ROAD		
City, State, Zip:	BOONTON	NJ	07005
Phone: (ext.)	973-263-1555	Fax:	973-263-9068

Preparer's Name:	PAUL J. CUVA (1)		
Preparer's Address:	401 WANAQUE AVENUE		
City, State, Zip:	POMPTON LAKES	NJ	07442
Phone: (ext.)	973-835-7900	Fax:	973-835-6631
E-mail:	FWCC@OPTONLINE.NET		

Chief Executive Officer:	JOANN MONDSINI		
Phone: (ext.)	973-263-1555	Fax:	973-263-9068
E-mail:	JMONDSINI@RVRSA.ORG		

Chief Financial Officer	B.K. SANDY THAI		
Phone: (ext.)	973-263-1555	Fax:	973-263-9068
E-mail:	SANDY@RVRSA.ORG		

Name of Auditor:	PAUL J. CUVA		
Name of Firm:	FERRAIOLI, WIELKOTZ, CERULLO & CUVA, P.A.		
Address:	401 WANAQUE AVENUE		
City, State, Zip:	POMPTON LAKES	NJ	07442
Phone: (ext.)	973-835-7900	Fax:	973-835-6631
E-mail:	FWCC@OPTONLINE.NET		

- (1) I have assisted in the preparation of this budget with information provided to me by the Rockaway Valley Regional Sewerage Authority.

Authority Information Questionnaire
Rockaway Valley Regional Sewerage Authority

Fiscal Year: 2020 From: January 01 to: December 31

- 1) Provide the number of individuals employed in (Use Most Recent W-3 Available 2018 or 2019) as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: 47
- 2) Provide the amount of total salaries and wages as reported on the Authority's Form W-3, (Use Most Recent W-3 Available 2018 or 2019) Transmittal of Wage and Tax Statements: 2,804,302.96
- 3) Provide the number of regular voting members of the governing body: 10
- 4) Provide the number of alternate voting members of the governing body: 0
- 5) Did any person listed on Page N-4 have a family or business relationship with any other person listed on Page N-4 during the current fiscal year? No *If "yes," attach a description of the relationship including the names of the individuals involved and their positions at the Authority.*
- 6) Did all individuals that were required to file a Financial Disclosure Statement for the current fiscal year (Most Recent Filing that March 31, 2019 or 2020 deadline has passed 2019 or 2020) because of their relationship with the Authority file the form as required? (Checked to see if individuals actually filed at <http://www.state.nj.us/dca/divisions/glgs/resources/fds.html> before answering) Yes *If "no," provide a list of those individuals who failed to file a Financial Disclosure Statement and an explanation as to the reason for their failure to file.*
- 7) Does the Authority have any amounts receivable from current or former commissioners, officers, key employees or highest compensated employees? No *If "yes," attach a list of those individuals, their position, the amount receivable, and a description of the amount due to the Authority.*
- 8) Was the Authority a party to a business transaction with one of the following parties:
- A current or former commissioner, officer, key employee, or highest compensated employee? No
 - A family member of a current or former commissioner, officer, key employee, or highest compensated employee? No, not to the knowledge of RVRSA.
 - An entity of which a current or former commissioner, officer, key employee, or highest compensated employee (or family member thereof) was an officer or direct or indirect owner? No
- If the answer to any of the above is "yes," attach a description of the transaction including the name of the commissioner, officer, key employee, or highest compensated employee (or family member thereof) of the Authority; the name of the entity and relationship to the individual or family member; the amount paid; and whether the transaction was subject to a competitive bid process.*
- 9) Did the Authority during the most recent fiscal year pay premiums, directly or indirectly, on a personal benefit contract? A personal benefit contract is generally any life insurance, annuity, or endowment contract that benefits, directly or indirectly, the transferor, a member of the transferor's family, or any other person designated by the transferor. No *If "yes," attach a description of the arrangement, the premiums paid, and indicate the beneficiary of the contract.*

10) Explain the Authority's process for determining compensation for all persons listed on Page N-4. Include whether the Authority's process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic performance evaluation; 4) independent compensation consultant; and/or 5) written employment contract. ***Attach narrative of your Authorities procedures for all individuals listed on Page N-4 (2 of 2).***

A: The RVRSA consults with other Authorities of similar size to determine the appropriate compensation for management employees, in addition, RVRSA Board passed resolution # 17-146 amending resolution # 19-066 adopting recommended salary range for non-union employees. Annual increases are determined by an annual performance review and increases are merit based. In addition, the Executive Director presents her recommendations to the Executive Committee. The Executive Committee then authorizes, modifies or rejects the recommended increases to management salaries. The Resolutions are attached.

11) Did the Authority pay for meals or catering during the current fiscal year? Yes If "yes," attach a detailed list of all meals and/or catering invoices for the current fiscal year and provide an explanation for each expenditure listed.

A: (1) RVRSA provides a light dinner with soda, water and coffee to the commissioners prior to the regular monthly board meeting. (2) RVRSA also provides sandwiches or snacks for committee meetings if they are held during breakfast, lunch or dinner hours, (3) RVRSA provides the meal/catering for the annual award luncheon, (4) RVRSA provides coffee/tea to employees. (5) Light snacks, coffee or lunch provide to the meetings with consultants/staff, (6) RVRSA also provides one annual dinner for the commissioners and staffs who attend the Annual League of Municipalities Conference/AEA Conference. The meals/catering invoices are attached.

12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? Yes If "yes," attach a detailed list of all travel expenses for the current fiscal year and provide an explanation for each expenditure listed.

A: In accordance with the RVRSA By-Laws and approved revised resolution # 19-064, Commissioners are authorized to attend one NJ League of Municipalities Conference and one other conference per year. Employees attend conferences and seminars in accordance with RVRSA Personnel Manual policy. Employee must complete a Conference and Seminar Request Form and obtain approval by Supervisor and Executive Director. Expenses associated with the conferences are reimbursable, all persons authorized to travel shall submit all original receipts and other evidence of allowable expenses for reimbursement. In addition, as per N.J.S.A. 40:14A-5(d), and the by-laws of the Authority, Commissioners are reimbursed for their travel expenses to attend regular monthly meetings and Committee meetings.

13) Did the Authority provide any of the following to or for a person listed on Page N-4 or any other employee of the Authority:

- a. First class or charter travel No
- b. Travel for companions No
- c. Tax indemnification and gross-up payments No
- d. Discretionary spending account No
- e. Housing allowance or residence for personal use No

- f. Payments for business use of personal residence No
- g. Vehicle/auto allowance or vehicle for personal use Yes
- h. Health or social club dues or initiation fees No
- i. Personal services (i.e.: maid, chauffeur, chef) No

If the answer to any of the above is "yes," attach a description of the transaction including the name and position of the individual and the amount expended.

A: The RVRSA provides a vehicle for the Executive Director, JoAnn Mondsin, to drive from her residence to work.

14) Did the Authority follow a written policy regarding payment or reimbursement for expenses incurred by employees and/or commissioners during the course of Authority business and does that policy require substantiation of expenses through receipts or invoices prior to reimbursement? See the answer below *If "no," attach an explanation of the Authority's process for reimbursing employees and commissioners for expenses. (If your authority does not allow for reimbursements indicate that in answer)*

A: RVRSA has a conference and seminar request form for employees and a revised resolution # 19- 064 with written conference travel and expense reimbursement policy for commissioners and employees. Please find them attached for your reference. Based on past practice, the employees of RVRSA have to submit their meals and other receipts and invoices from the conference, seminar or course for expense reimbursement.

15) Did the Authority make any payments to current or former commissioners or employees for severance or termination? No *If "yes," attach explanation including amount paid.*

16) Did the Authority make any payments to current or former commissioners or employees that were contingent upon the performance of the Authority or that were considered discretionary bonuses? No *If "yes," attach explanation including amount paid.*

17) Did the Authority comply with its Continuing Disclosure Agreements for all debt issuances outstanding by submitting its audited annual financial statements, annual operating data, and notice of material events to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) as required? N/A *If "no," attach a description of the Authority's plan to ensure compliance with its Continuing Disclosure Agreements in the future.*

18) Did the Authority receive any notices from the Department of Environmental Protection or any other entity regarding maintenance or repairs required to the Authority's systems to bring them into compliance with current regulations and standards that it has not yet taken action to remediate? No *If "yes," attach explanation as to why the Authority has not yet undertaken the required maintenance or repairs and describe the Authority's plan to address the conditions identified.*

19) Did the Authority receive any notices of fines or assessments from the Department of Environmental Protection or any other entity due to noncompliance with current regulations (i.e.: sewer overflow, etc.)? No *If "yes," attach a description of the event or condition that resulted in the fine or assessment and indicate the amount of the fine or assessment.*

RESOLUTION 17-146

RESOLUTION ADOPTING RECOMMENDED SALARY RANGES FOR NON-UNION EMPLOYEES

WHEREAS, Rockaway Valley Regional Sewerage Authority, (RVRSA), requested that a salary survey be conducted of all non-union employees in order to assure that RVRSA's salary structure for its non-union employees is consistent with current salary levels in the relevant, comparable job market; and

WHEREAS, the survey was conducted by RVRSA's Human Resources Consultant, and an analysis of the salaries of non-union RVRSA employees as well as the salaries of those in similar positions at sewerage authorities of comparable size to RVRSA was completed; and

WHEREAS, the results of that survey have been used to create a series of salary ranges for RVRSA's non-union job titles; and

WHEREAS, the RVRSA Board deems it to be in the public interest for these salary ranges to be adopted and used in determining the level of appropriate salary compensation for RVRSA's non-union employees.

NOW, THEREFORE, BE IT RESOLVED by Rockaway Valley Regional Sewerage Authority on this 14th day of December, 2017, as follows:

1. Non-union employees shall be paid in accordance with the following salary ranges:

<u>Title</u>	<u>Min</u>	<u>Max</u>
<u>Executive Director</u>	<u>\$111,000</u>	<u>\$159,000</u>
<u>Executive Secretary/Records Clerk/Asst. Board Secretary</u>	<u>\$64,458</u>	<u>\$93,132</u>
<u>Records Clerk I (hourly)</u>	<u>\$17.09</u>	<u>\$19.04</u>
<u>Records Clerk II (hourly)</u>	<u>\$18.24</u>	<u>\$19.84</u>
<u>Manager of Engineering, P.E.</u>	<u>\$85,000</u>	<u>\$132,000</u>
<u>Chief Financial Officer/Asst. Board Treasurer/QPA</u>	<u>\$86,900</u>	<u>\$115,500</u>
<u>Benefits/Payroll Clerk/QPA/Asst. to CFO</u>	<u>\$51,000</u>	<u>\$91,000</u>
<u>Safety Coordinator</u>	<u>\$65,780</u>	<u>\$95,700</u>

<u>IPP Coordinator</u>	<u>\$69,792</u>	<u>\$90,188</u>
<u>IPP Inspector (hourly)</u>	<u>\$23.08</u>	<u>\$30.77</u>
<u>Plant Manager</u>	<u>\$94,000</u>	<u>\$118,183</u>
<u>Assistant Plant Manager</u>	<u>\$80,000</u>	<u>\$105,600</u>
<u>Trunk Line Manager</u>	<u>\$70,000</u>	<u>\$116,000</u>
<u>Engineer I</u>	<u>\$70,000</u>	<u>\$77,678</u>
<u>Engineer II</u>	<u>\$72,000</u>	<u>\$90,917</u>
<u>Electrical Engineer</u>	<u>\$72,000</u>	<u>\$146,820</u>
<u>Engineering Intern (hourly)</u>	<u>\$12.01</u>	<u>\$24.96</u>
<u>Laboratory Manager</u>	<u>\$62,000</u>	<u>\$119,000</u>
<u>Laboratory Technician (hourly)</u>	<u>\$24.60</u>	<u>\$42.50</u>
<u>Laboratory Intern (hourly)</u>	<u>\$16.41</u>	<u>\$23.59</u>

2. Except as described in Paragraph 3, below, the Executive Director is authorized to place each current and prospective non-union employee at the appropriate salary level within the established salary range for the employee's job title, and to adjust said employees' salary levels within the established salary ranges from time to time in accordance with established RVRSA policies, subject in each instance to the approval of the Executive Committee of the RVRSA Board. Time in service, current salary level and salary history, prior work experience, demonstrated record of job performance and other relevant qualifications (*e.g.*, evaluations, extent of educational attainment, and specialized training or credentials), as well as market conditions and budgetary constraints, will be considered when determining a current or prospective employee's salary level within the established salary range for the current or prospective employee's job title.
3. Notwithstanding any other provision hereof to the contrary, the determination of the salary of the Executive Director within the Executive Director's established salary range shall be determined by, and shall be within the sole and exclusive authority of, the RVRSA Board.
4. This Resolution shall take effect immediately, and shall remain in effect until amended, modified or repealed.

I hereby certify that this Resolution was adopted at a meeting of Rockaway Valley Regional Sewerage Authority held on the 14th day of December, 2017.

On motion of Hector Schorno

Seconded by Michael Guadagno

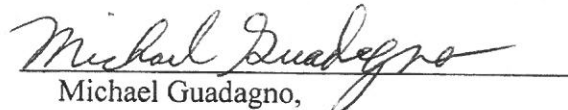
And a Roll Call Vote as Follows:

Yeas: (8) Andes, Cegelka, Corbett, Guadagno, Lowell, Recchia, Rossi, Schorno

Nays: (0) None

Abstain: (1) Vincitore

Absent: (0) None


Michael Guadagno,
Board Secretary

RESOLUTION 19-066

**RESOLUTION AMENDING ADOPTED
RECOMMENDED SALARY RANGES FOR NON-UNION
EMPLOYEES**

WHEREAS, Rockaway Valley Regional Sewerage Authority, (RVRSA), requested that a salary survey be conducted of all non-union employees in order to assure that RVRSA's salary structure for its non-union employees is consistent with current salary levels in the relevant, comparable job market; and

WHEREAS, the survey was conducted by RVRSA's Human Resources Consultant, and an analysis of the salaries of non-union RVRSA employees as well as the salaries of those in similar positions at sewerage authorities of comparable size to RVRSA was completed; and

WHEREAS, the results of that survey have been used to create a series of salary ranges for RVRSA's non-union job titles; and

WHEREAS, the RVRSA Board deems it to be in the public interest for these salary ranges to be adopted and used in determining the level of appropriate salary compensation for RVRSA's non-union employees.

WHEREAS, the RVRSA Board adopted Resolution 17-146 at a meeting held on December 14, 2017 adopting recommended salary ranges for non-union employees; and

WHEREAS, the RVRSA Board wishes to update and amend Resolution 17-146 as follows:

1. Amend the minimum and maximum hourly rate for Records Clerk II;
2. Add new title of Records Clerk III (hourly) with minimum hourly rate of \$25.96 and maximum hourly rate of \$28.85.
3. Amend the maximum salary for the position of Chief Financial Officer/Asst. Board Treasurer/QPA to \$130,000.
4. Amend Safety Coordinator title to Human Resources/Safety Coordinator.
5. Amend the maximum salary for the position of Engineer II to \$100,000.
6. Amend the minimum hourly rate for Engineering Intern to \$16.41 and amend the maximum hourly rate \$23.59.

NOW, THEREFORE, BE IT RESOLVED by Rockaway Valley Regional Sewerage Authority on this 11th day of July, 2019, as follows:

1. Non-union employees shall be paid in accordance with the following salary ranges:

<u>Title</u>	<u>Min</u>	<u>Max</u>
<u>Executive Director</u>	<u>\$111,000</u>	<u>\$159,000</u>
<u>Executive Secretary/Records Clerk/Asst. Board Secretary</u>	<u>\$64,458</u>	<u>\$93,132</u>
<u>Records Clerk I (hourly)</u> (35,500 to 41,200 per year)	<u>\$17.09</u>	<u>\$19.04</u>
<u>Records Clerk II (hourly)</u> (44,200 to 50,000 per year)	<u>\$21.25</u>	<u>\$24.04</u>
<u>Records Clerk III (hourly)</u> (54,000 to 60,000 per year)	<u>25.96</u>	<u>28.85</u>
<u>Manager of Engineering, P.E.</u>	<u>\$85,000</u>	<u>\$132,000</u>
<u>Chief Financial Officer/Asst. Board Treasurer/QPA</u>	<u>\$86,900</u>	<u>\$130,000</u>
<u>Benefits/Payroll Clerk/QPA/Asst. to CFO</u>	<u>\$51,000</u>	<u>\$91,000</u>
<u>Human Resources/Safety Coordinator</u>	<u>\$65,780</u>	<u>\$95,700</u>
<u>IPP Coordinator</u>	<u>\$69,792</u>	<u>\$90,188</u>
<u>IPP Inspector (hourly)</u>	<u>\$23.08</u>	<u>\$30.77</u>
<u>Plant Manager</u>	<u>\$94,000</u>	<u>\$118,183</u>
<u>Assistant Plant Manager</u>	<u>\$80,000</u>	<u>\$105,600</u>
<u>Trunk Line Manager</u>	<u>\$70,000</u>	<u>\$116,000</u>
<u>Engineer I</u>	<u>\$70,000</u>	<u>\$77,678</u>
<u>Engineer II</u>	<u>\$72,000</u>	<u>\$100,000</u>
<u>Electrical Engineer</u>	<u>\$72,000</u>	<u>\$146,820</u>
<u>Engineering Intern (hourly)</u>	<u>\$16.41</u>	<u>\$23.59</u>
<u>Laboratory Manager</u>	<u>\$62,000</u>	<u>\$119,000</u>
<u>Laboratory Technician (hourly)</u>	<u>\$24.60</u>	<u>\$42.50</u>
<u>Laboratory Intern (hourly)</u>	<u>\$16.41</u>	<u>\$23.59</u>

2. Except as described in Paragraph 3, below, the Executive Director is authorized to place each current and prospective non-union employee at the appropriate salary level within the established salary range for the employee's job title, and to adjust said employees' salary levels within the established salary ranges from time to time in accordance with established RVRSA policies, subject in each instance to the approval of the Executive Committee of the RVRSA Board. Time in service, current salary level and salary history with RVRSA, prior work experience, demonstrated record of job performance and other relevant

qualifications (e.g., evaluations, extent of educational attainment, and specialized training or credentials), as well as market conditions and budgetary constraints, will be considered when determining a current or prospective employee's salary level within the established salary range for the current or prospective employee's job title.

3. Notwithstanding any other provision hereof to the contrary, the determination of the salary of the Executive Director within the Executive Director's established salary range shall be determined by, and shall be within the sole and exclusive authority of, the RVRSA Board.
4. This Resolution shall take effect immediately, and shall remain in effect until amended, modified or repealed.

I hereby certify that this Resolution was adopted at a meeting of Rockaway Valley Regional Sewerage Authority held on the 11th day of July, 2019.

On motion of: Hector Schorno

Seconded by: John Cegelka

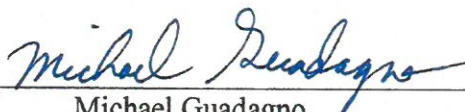
And a Roll Call Vote as Follows:

Yeas: (7) Cegelka, Corbett, Guadagno, Isselin, Lowell, Rossi, Schorno

Nays: (0) None

Abstain: (1) Andes

Absent: (2) Recchia, Zuppa



Michael Guadagno,
Board Secretary

Commissioner	Conference Registration	Airfare & Taxi to Attend Conference	Hotel Accommodation to Attended Conference	Mileage, Tolls Reimbursement to Attended Conference/ Seminar/ Classes	Meals to Attended Conference	Mileage Reimbursement to Attended Meetings
Michael Guadagno	780.00	227.00	1,418.43			
Joseph Lowell	55.00		471.00			

Employee	Conference/ Seminar/ Classes Registration	Airfare to Attend Conference	Hotel Accommodation to Attended Conference	Mileage, Tolls Reimbursement to Attended Conference/ Seminar/ Classes	Meals to Attended Conference	Mileage Reimbursement to Attended Meetings
Aniccimov	149.00					
Bonaccorso	3,164.00		314.00	135.60		
Bocchino	55.00		471.00			
Belli	249.00		157.00	76.20		
Carey	605.00		369.00			
Leahy	198.00					
Maxson	625.00					
McManus	710.00					
McNamara	975.00					
Mondsini	254.00		471.00			
Mosher	498.00					
Pisarcik	510.00		369.00	421.62	8.62	
Reichert	3,610.00		1,086.00			
Simolia	514.00		246.00	154.64	94.21	
Thai	800.00		1,077.00	345.52	82.73	
Trimmer	3,225.00			165.18		
Wachowiak	544.00		369.00	147.47	98.25	
Yudichak	290.00					

Resolution 19-064

RESOLUTION TO APPROVE REVISED RVRSA BOARD MEMBER AND EMPLOYEE
CONFERENCE TRAVEL AND EXPENSE REIMBURSEMENT POLICY

WHEREAS, there exists a need to revise the Travel and Expense Reimbursement Policy for Board Members and Employees of the Rockaway Valley Regional Sewerage Authority (“RVRSA”) to include reimbursement for conference and travel expenses for employees that travel on official RVRSA business and to amend the per day reimbursement amount for meals; and

WHEREAS, the RVRSA desires to revise and replace the existing Board Member and Employee Conference Travel and Expense Reimbursement Policy with the policy attached hereto as Exhibit A, entitled, “Board Member and Employee Conference Travel and Expense Reimbursement Policy”, and to establish guidelines for the reimbursement of Board Members and Employees traveling and/or incurring expenses in furtherance of official RVRSA business.

NOW, THEREFORE, BE IT RESOLVED by the Rockaway Valley Regional Sewerage Authority as follows:

1. The RVRSA hereby adopts the revised RVRSA Board Member and Employee Conference Travel and Expense Reimbursement Policy attached hereto as Exhibit A.
2. For the purposes of this Resolution, the term “Board Member” shall include those persons appointed by the governing bodies of the constituent municipalities pursuant to N.J.S.A. 40:14A-4.
3. The policy set forth in Exhibit A shall be effective as of July 11, 2019.

I certify that this Resolution was adopted at a meeting of the Rockaway Valley Regional Sewerage Authority held on July 11, 2019.

On motion of: Hector Schorno

Second by: Michael Guadagno

And a Roll Call vote as follows:

Yeas: (8) Andes, Cegelka, Corbett, Guadagno, Isselin, Lowell, Rossi, Schorno

Nays: (0) None

Abstain: (0) None

Absent: (2) Recchia, Zuppa

A handwritten signature in blue ink that reads "Michael Guadagno". The signature is written in a cursive style and is positioned above a horizontal line.

Michael Guadagno
Board Secretary

Exhibit A

RVRSA Board Member and Employee Conference Travel and Expense Reimbursement Policy

RVRSA Board Members and Employees are authorized to travel on official RVRSA business. Board Members and Employees traveling on RVRSA business are encouraged to exercise the same care in incurring expenses that they would if traveling on personal business at their own expense. Excessive and unnecessary travel and other expenses shall not be approved or reimbursed. Travel routes should be planned so that minimum amount of travel and expense are involved. Board Members and Employees shall be responsible for making their own travel arrangements. Expenditures for official RVRSA travel are reimbursable if incurred in accordance with these guidelines.

I. Conference Registration

Frequency (Board Members): One NJ League of Municipalities Conference and one other conference per year per Board Member.

Frequency (Employees): In accordance with Personnel Manual, Conference and Seminar Request Form, and approval by Supervisor and Executive Director.

Cost of registration for any conference or seminar in the above list shall be paid in advance by the RVRSA.

II. Transportation

A. Air Travel

The RVRSA shall reimburse Board members and Employees for the full cost of travel by air (if appropriate). The maximum amount of reimbursable air travel shall be the coach fare for travel commencing the day before the conference begins and ending on the day after the conference ends. The reference to such days shall be for the sole purpose of determining the amount of reimbursement and shall not restrict members to travel only on those days.

B. Car Travel

The RVRSA shall reimburse Board Members and Employees for the actual costs of all tolls and parking expenses which shall not exceed the coach airfare. In addition, the RVRSA shall reimburse Board Members and Employees at the prevailing mile rate of reimbursement per mile for each mile traveled, updated on a yearly basis.

C. Car Rental Costs

If less than mileage cost, car rental cost may be substituted in lieu of costs as described in item B and are also reimbursable.

III. Lodging

The RVRSA shall reimburse Board Members and Employees for the cost of lodging at conference rates. The maximum amount that is reimbursable for hotel accommodations shall be at conference rates, for a maximum stay not to exceed the full length of the conference. Expenses for laundry, valet, entertainment, room service, and other charges of a similar nature are considered personal charges and shall not be reimbursed.

IV. Meals

The RVRSA shall reimburse Board Members and Employees for all meals, excluding alcoholic beverages, incurred during the course of travel and while attending the conference. Meal reimbursement is subject to a maximum amount per day. The Maximum per day amount is \$100.00.

V. Related Expenses

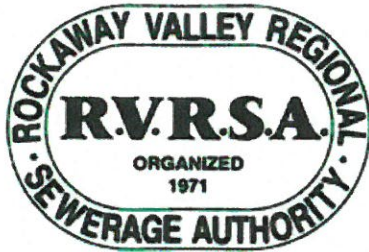
The RVRSA shall reimburse Board Members and Employees for necessary incidental expenses incurred during the course of travel. These shall include airport shuttle services to and from airports, shuttle services to and from hotels to airports, portage, and parking expenses (if appropriate). The RVRSA will reimburse taxi expenses for transportation to and from hotels and airports and for transportation to and from conference events only in cases where shuttle services are unavailable. All other taxi expenses are considered personal charges and shall not be reimbursed.

VI. Reimbursement

The RVRSA will attempt to provide persons authorized to travel on RVRSA business with sufficient funds to cover expected expenses. All persons authorized to travel shall submit all original receipts and other evidence of allowable expenses to the CFO's office. The RVRSA shall approve all expenses in accordance with the RVRSA's guidelines.

VII. This policy shall become effective as of July 11, 2019.

Q #14
Attachment 2



CONFERENCE AND SEMINAR

REQUEST FORM

DATE: _____

EMPLOYEE NAME: _____

CONFERENCE/SEMINAR: _____

CONFERENCE/SEMINAR DATE(S): _____

COST SEMINAR/CONFERENCE: _____

COST OTHER (TRAVEL/HOTEL): _____

State reason below why attending this conference/seminar will be beneficial to the RVRSA.

Requesting Employee Signature: _____

Supervisor Signature: _____

Approved _____ Denied _____

Executive Director Signature: _____

Approved _____ Denied _____

In accordance with the RVRSA Policy Manual, Section 4- page 4, "Requests must be in writing and include schedule, registration information and estimated costs". See Policy Manual for complete policy.

**AUTHORITY SCHEDULE OF COMMISSIONERS, OFFICERS, KEY EMPLOYEES,
HIGHEST COMPENSATED EMPLOYEES AND INDEPENDENT CONTRACTORS**

**ROCKAWAY VALLEY REGIONAL SEWERAGE
AUTHORITY**

FISCAL YEAR: FROM: JAN. 1, 2020 TO: DEC. 31, 2020

Complete the attached table for all persons required to be listed per #1-4 below.

- 1) List all of the Authority's current commissioners and officers and amount of compensation from the Authority and any other public entities as defined below. Enter zero if no compensation was paid.
- 2) List all of the Authority's key employees and highest compensated employees other than a commissioner or officer as defined below and amount of compensation from the Authority and any other public entities.
- 3) List all of the Authority's former officers, key employees and highest compensated employees who received more than \$100,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.
- 4) List all of the Authority's former commissioners who received more than \$10,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.

Commissioner: A member of the governing body of the authority with voting rights. Include alternates for purposes of this schedule.

Officer: A person elected or appointed to manage the authority's daily operations at any time during the year, such as the chairperson, vice-chairperson, secretary, or treasurer. For the purposes of this schedule, treat the authority's top management official and top financial official as officers. A member of the governing body may be both a commissioner and an officer for the purposes of this schedule.

Key employee: An employee or independent contractor of the authority (other than a commissioner or officer) who meets both of the following criteria:

- a) The individual received reportable compensation from the authority and other public entities in excess of \$150,000 for the most recent fiscal year completed; and
- b) The individual has responsibilities or influence over the authority as a whole or has power to control or determine 10% or more of the authority's capital expenditures or operating budget.

Highest compensated employee: One of the five highest compensated employees or independent contractors of the authority other than current commissioners, officers, or key employees whose aggregate reportable compensation from the authority and other public entities is greater than \$100,000 for the most recent fiscal year completed.

Compensation: All forms of cash and non-cash payments or benefits provided in exchange for services, including salaries and wages, bonuses, severance payments, deferred payments, retirement benefits, fringe benefits, and other financial arrangements or transactions such as personal vehicles, meals, housing, personal and family education benefits, below-market loans, payment of personal or family travel, entertainment, and personal use of the Authority's property. Compensation includes payments and other benefits provided to both employees and independent contractors in exchange for services.

Reportable compensation: (Use the Most Recent W-2 available 2018 or 2019). The aggregate compensation that is reported (or is required to be reported) on Form W-2, box 1 or 5, whichever amount is greater, and/or Form 1099-MISC, box 7, for the most recent calendar year ended 60 days before the start of the proposed budget year. For example, for fiscal years ending December 31, 2020, the most recent W-2 and 1099 should be used 2019 or 2018 (60 days prior to start of budget year is November 1, 2019, with 2018 being the most recent calendar year ended), and for fiscal years ending June 30, 2020, the calendar year 2019 W-2 and 1099 should be used (60 days prior to start of budget year is May 1, 2019, with 2019 being the most recent calendar year ended).

Other Public Entity: Any municipality, county, local authority, fire district, or other government unit, regardless of whether it is related in any way to the Authority either by function or by physical location.

Authority Schedule of Commissioners, Officers, Key Employees, Highest Compensated Employees and Independent Contractors (Continued)

Rockaway Valley Regional Sewerage Authority
 For the Period January 1, 2020 to December 31, 2020

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T
Name	Title	Average Hours per Week Dedicated to Position	Commissioner	Officer	Key Employee	Highest Compensated Employee	Former Employee	Base Salary/Stipend	Bonus	Other (auto allowance, expense payment in lieu of health benefits, etc.)	Estimated amount of other compensation from the Authority (health benefits, pension, etc.)	Total Compensation from Authority	Names of Other Public Entities where individual is an Employee or Member of the Governing Body (1) See note below	Positions held at Other Public Entities Listed in Column O	Average Hours per Week Dedicated to Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (W-2/ 1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, payment in lieu of health benefits, etc.)	Total Compensation All Public Entities	
1. Hector R. Schorno	Chairman	5	X					0	0	0	0	0	None	N/A	5	0	0	0	0
2. John Cegella	Vice Chairman	5	X					0	0	0	0	0	None	N/A	5	0	0	0	0
3. Michael Guadagno	Secretary	5	X					0	0	0	0	0	0 Morris County Clerk		5	14,500	1,109	15,609	0
4. Glenn Corbett	Treasurer	5	X					0	0	0	0	0	0 Borough Wharton		5	10,800	826	11,626	0
5. Joseph Lowell	Commissioner	5	X					0	0	0	0	0	0 Twp Boonton		5	8,900	681	9,581	0
6. Thomas M. Andes	Commissioner	5	X					0	0	0	0	0	0 Twp Denville		5	133,419	26,684	160,102	0
7. Anthony Recchia, Jr.	Commissioner	5	X					0	0	0	0	0	0 None	N/A	5	0	0	0	0
8. Joseph Rosi	Commissioner	5	X					0	0	0	0	0	0 Borough Rockaway		5	4,566	349	4,915	0
9. William J Isselin	Commissioner	5	X					0	0	0	0	0	0 Town of Dover		5	113,106	22,621	135,728	0
10. William J Isselin	Commissioner	5	X					0	0	0	0	0	0 Borough Victory Gardens		5	4,700	360	5,060	0
11. Thomas Zuppa, Jr.	Commissioner	5	X					0	0	0	0	0	0 None	N/A	5	0	0	0	0
12. JoAnn Mondisni	Executive Director	40	X					132,912	0	0	12,222	145,134	None	N/A	40	0	0	145,134	0
13. BK Sandy Thai	CFO, Assist Board Tr	40	X					108,800	0	0	23,270	132,071	None	N/A	40	0	0	132,071	0
14. Mary Roman	Assist Board Secretar	40	X					79,812	0	0	23,270	103,082	None	N/A	40	0	0	103,082	0
15. Robert Bocchino	Manager of Engineer	40	X					104,725	0	0	31,164	135,889	None	N/A	40	0	0	135,889	0
Total:								\$ 426,249	\$ -	\$ -	\$ 89,926	\$ 516,176				\$ 289,991	\$ 52,630	\$ 858,797	

(1) Insert "None" in this column for each individual that does not hold a position with another Public Entity

Rockaway Valley Regional Sewerage Authority

December 31, 2020

For the Period January 1, 2020

A B C D E F G H I J K L M N O P Q R S T

Position (Can Check more than 1 Column for each person)

Reportable Compensation from Authority (W-2/1099)

Name	Title	Average Hours per Week Dedicated to Position	Commissioner	Officer	Key Employee	Highest Compensated Employee	Former	Base Salary/Stipend/Over time	Bonus	Other (auto allowance, expense account, payment in lieu of health benefits, etc.)	Estimated amount of other compensation from the Authority (health benefits, pension, etc.)	Total Compensation from Authority	Names of Other Public Entities where individual is an Employee or Member of the Governing Body (1) See note below	Positions held at Other Public Entities Listed in Column O	Average Hours per Week Dedicated to Positions at Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (W-2/1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, payment in lieu of health benefits, etc.)	Total Compensation All Public Entities	
																			None
1 Mario Bonaccorso	Plant Manager	40	X					91,843	0	0	31,949	123,793	N/A	N/A	40	0	0	9,470.14	133,263
2 Eric Reichert	Asst Plant manager	40	X					104,198	0	0	1,348	105,546	N/A	N/A	40	0	0	8,074.28	113,620
3 Scott Trimmer	Trunkline Manager	40	X					81,277	0	0	32,114	113,391	N/A	N/A	40	0	0	8,674.38	122,065
4 Warren Thunell	Operator	57.14		X				111,995	0	0	31,501	143,496	N/A	N/A	57.14	0	0	10,977.43	154,473
5 Thomas Vander Ploeg	Operator	44.27			X			80,153	0	0	21,763	101,916	N/A	N/A	44.27	0	0	7,796.59	109,713
6																			0
7																			0
8																			0
9																			0
10																			0
11																			0
12																			0
13																			0
14																			0
15																			0
Total:											\$ 469,456	\$ 588,141		\$ 44,993	\$ 633,134				

(1) Insert "None" in this column for each individual that does not hold a position with another Public Entity

Schedule of Health Benefits - Detailed Cost Analysis

Rockaway Valley Regional Sewerage Authority
 For the Period January 1, 2020 to December 31, 2020

If Not Applicable X this box Below

	# of Covered Members (Medical & Rx)		Annual Cost Estimate per Employee Proposed Budget		Total Cost Estimate Proposed Budget		# of Covered Members (Medical & Rx) Current Year		Annual Cost per Employee Current Year		Total Prior year Year Cost		% Increase (Decrease)	
	Proposed Budget	Actual	Proposed Budget	Actual	Proposed Budget	Actual	Current Year	Current Year	Current Year	Current Year	Year	Year	Year	Year
Active Employees - Health Benefits - Annual Cost														
Single Coverage	7		\$ 11,906		\$ 83,344		6		\$ 11,559		\$ 69,357		\$ 13,987	20.2%
Parent & Child	4		21,004		84,016		4		20,392		81,569		2,447	3.0%
Employee & Spouse (or Partner)	6		23,319		139,912		6		22,639		135,837		4,075	3.0%
Family	13		32,801		426,410		12		31,845		382,144		44,266	11.6%
Employee Cost Sharing Contribution (enter as negative -)					(130,000)						(120,000)		(10,000)	8.3%
Subtotal	30				603,682		28				548,907		54,775	10.0%
Commissioners - Health Benefits - Annual Cost														
Single Coverage					-						-		-	#DIV/0!
Parent & Child					-						-		-	#DIV/0!
Employee & Spouse (or Partner)					-						-		-	#DIV/0!
Family					-						-		-	#DIV/0!
Employee Cost Sharing Contribution (enter as negative -)														#DIV/0!
Subtotal	0				-		0				-		-	#DIV/0!
Retirees - Health Benefits - Annual Cost														
Single Coverage	1		4,795		4,795		1		4,655		4,655		140	3.0%
Parent & Child	1		14,849		14,849		1		14,416		14,416		432	3.0%
Employee & Spouse (or Partner)	4		16,831		67,324		4		16,341		65,363		1,961	3.0%
Family	2		20,466		40,931		2		19,869		39,739		1,192	3.0%
Employee Cost Sharing Contribution (enter as negative -)														#DIV/0!
Subtotal	8				127,899		8				124,173		3,725	3.0%
GRAND TOTAL	38				\$ 731,581		36				\$ 673,080		\$ 58,500	8.7%

Yes	Yes or No
Yes	Yes or No

Is medical coverage provided by the SHBP (Yes or No)? (Place Answer in Box)
 Is prescription drug coverage provided by the SHBP (Yes or No)? (Place Answer in Box)

Note: Remember to Enter an amount in rows for Employee Cost Sharing

2020 (2020-2021) AUTHORITY BUDGET

Financial Schedules Section

SUMMARY

Rockaway Valley Regional Sewerage Authority
 For the Period January 1, 2020 to December 31, 2020

	FY 2020 Proposed Budget					FY 2019 Adopted Budget		\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted
	Sewer	N/A	N/A	N/A	N/A	Total All Operations	Total All Operations		
REVENUES									
Total Operating Revenues	\$ 10,339,235	\$ -	\$ -	\$ -	\$ -	\$ 10,339,235	\$ 9,845,720	\$ 493,515	5.0%
Total Non-Operating Revenues	32,500	-	-	-	32,500	15,500	17,000	109.7%	
Total Anticipated Revenues	10,371,735	-	-	-	10,371,735	9,861,220	510,515	5.2%	
APPROPRIATIONS									
Total Administration	3,059,371	-	-	-	3,059,371	2,838,519	220,852	7.8%	
Total Cost of Providing Services	5,740,264	-	-	-	5,740,264	5,396,401	343,863	6.4%	
Total Principal Payments on Debt Service in Lieu of Depreciation	1,077,800	-	-	-	1,077,800	1,031,900	45,900	4.4%	
Total Operating Appropriations	9,877,435	-	-	-	9,877,435	9,266,820	610,615	6.6%	
Total Interest Payments on Debt	244,300	-	-	-	244,300	244,400	(100)	0.0%	
Total Other Non-Operating Appropriations	450,000	-	-	-	450,000	450,000	-	0.0%	
Total Non-Operating Appropriations	694,300	-	-	-	694,300	694,400	(100)	0.0%	
Accumulated Deficit	-	-	-	-	-	-	-	#DIV/0!	
Total Appropriations and Accumulated Deficit	10,571,735	-	-	-	10,571,735	9,961,220	610,515	6.1%	
Less: Total Unrestricted Net Position Utilized	200,000	-	-	-	200,000	100,000	100,000	100.0%	
Net Total Appropriations	10,371,735	-	-	-	10,371,735	9,861,220	510,515	5.2%	
ANTICIPATED SURPLUS (DEFICIT)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	#DIV/0!	

Revenue Schedule

Rockaway Valley Regional Sewerage Authority

For the Period January 1, 2020 to December 31, 2020

	FY 2020 Proposed Budget						FY 2019 Adopted Budget	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted	
	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations	Total All Operations	All Operations	
							Total All Operations	All Operations	All Operations	
OPERATING REVENUES										
<i>Service Charges</i>										
Residential							\$ -	\$ -	\$ -	#DIV/0!
Business/Commercial							-	-	-	#DIV/0!
Industrial							-	-	-	#DIV/0!
Intergovernmental	9,894,485						9,894,485	9,437,220	457,265	4.8%
Other							-	-	-	#DIV/0!
Total Service Charges	9,894,485	-	-	-	-	-	9,894,485	9,437,220	457,265	4.8%
<i>Connection Fees</i>										
Residential							-	-	-	#DIV/0!
Business/Commercial							-	-	-	#DIV/0!
Industrial							-	-	-	#DIV/0!
Intergovernmental							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Connection Fees	-	-	-	-	-	-	-	-	-	#DIV/0!
<i>Parking Fees</i>										
Meters							-	-	-	#DIV/0!
Permits							-	-	-	#DIV/0!
Fines/Penalties							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Parking Fees	-	-	-	-	-	-	-	-	-	#DIV/0!
<i>Other Operating Revenues (List)</i>										
User Charges	180,000						180,000	170,000	10,000	5.9%
Industrial Application Fees	3,750						3,750	1,500	2,250	150.0%
Application & Inspection Fees	7,000						7,000	5,000	2,000	40.0%
IPP Surcharges	180,000						180,000	180,000	-	0.0%
Industrial Annual Fees	28,000						28,000	30,000	(2,000)	-6.7%
Unused Capacity	46,000						46,000	22,000	24,000	109.1%
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
Total Other Revenue	444,750	-	-	-	-	-	444,750	408,500	36,250	8.9%
Total Operating Revenues	10,339,235	-	-	-	-	-	10,339,235	9,845,720	493,515	5.0%
NON-OPERATING REVENUES										
<i>Other Non-Operating Revenues (List)</i>										
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
Total Other Non-Operating Revenue	-	-	-	-	-	-	-	-	-	#DIV/0!
<i>Interest on Investments & Deposits (List)</i>										
Interest Earned	32,500						32,500	15,500	17,000	109.7%
Penalties							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Interest	32,500	-	-	-	-	-	32,500	15,500	17,000	109.7%
Total Non-Operating Revenues	32,500	-	-	-	-	-	32,500	15,500	17,000	109.7%
TOTAL ANTICIPATED REVENUES	\$ 10,371,735	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10,371,735	\$ 9,861,220	\$ 510,515	5.2%

Prior Year Adopted Revenue Schedule

Rockaway Valley Regional Sewerage Authority

FY 2019 Adopted Budget

	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations
OPERATING REVENUES							
<i>Service Charges</i>							
Residential							\$ -
Business/Commercial							-
Industrial							-
Intergovernmental							9,437,220
Other							-
Total Service Charges	9,437,220	-	-	-	-	-	9,437,220
<i>Connection Fees</i>							
Residential							-
Business/Commercial							-
Industrial							-
Intergovernmental							-
Other							-
Total Connection Fees	-	-	-	-	-	-	-
<i>Parking Fees</i>							
Meters							-
Permits							-
Fines/Penalties							-
Other							-
Total Parking Fees	-	-	-	-	-	-	-
<i>Other Operating Revenues (List)</i>							
User Charges	170,000						170,000
Industrial Application Fees	1,500						1,500
Application & Inspection Fees	5,000						5,000
IPP Surcharges	180,000						180,000
Industrial Annual Fees	30,000						30,000
Unused Capacity	22,000						22,000
N/A							-
N/A		-					
N/A		-					
N/A		-					
N/A		-					
Total Other Revenue	408,500	-	-	-	-	-	408,500
Total Operating Revenues	9,845,720	-	-	-	-	-	9,845,720
NON-OPERATING REVENUES							
<i>Other Non-Operating Revenues (List)</i>							
N/A							-
N/A							-
N/A							-
N/A							-
N/A							-
N/A							-
Total Other Non-Operating Revenues	-	-	-	-	-	-	-
<i>Interest on Investments & Deposits</i>							
Interest Earned	15,500						15,500
Penalties							-
Other							-
Total Interest	15,500	-	-	-	-	-	15,500
Total Non-Operating Revenues	15,500	-	-	-	-	-	15,500
TOTAL ANTICIPATED REVENUES	\$ 9,861,220	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 9,861,220

Appropriations Schedule

Rockaway Valley Regional Sewerage Authority
For the Period January 1, 2020 to December 31, 2020

	FY 2020 Proposed Budget						Total All	FY 2019 Adopted	Budget	\$ Increase	% Increase
	Sewer	N/A	N/A	N/A	N/A	N/A	Operations	Total All	Operations	Proposed vs. Adopted	Proposed vs. Adopted
OPERATING APPROPRIATIONS											
<i>Administration - Personnel</i>											
Salary & Wages	\$ 998,000						\$ 998,000	\$ 989,386	\$ 8,614		0.9%
Fringe Benefits	509,286						509,286	495,418	13,868		2.8%
Total Administration - Personnel	1,507,286	-	-	-	-	-	1,507,286	1,484,804	22,482		1.5%
<i>Administration - Other (List)</i>											
See attached F-4a	1,552,085						1,552,085	1,353,715	198,370		14.7%
N/A							-	-	-		#DIV/0!
N/A							-	-	-		#DIV/0!
N/A							-	-	-		#DIV/0!
Miscellaneous Administration*							-	-	-		#DIV/0!
Total Administration - Other	1,552,085	-	-	-	-	-	1,552,085	1,353,715	198,370		14.7%
Total Administration	3,059,371	-	-	-	-	-	3,059,371	2,838,519	220,852		7.8%
<i>Cost of Providing Services - Personnel</i>											
Salary & Wages	1,925,500						1,925,500	1,962,490	(36,990)		-1.9%
Fringe Benefits	988,614						988,614	982,682	5,932		0.6%
Total COPS - Personnel	2,914,114	-	-	-	-	-	2,914,114	2,945,172	(31,058)		-1.1%
<i>Cost of Providing Services - Other (List)</i>											
See attached F-4a	2,826,150						2,826,150	2,451,229	374,921		15.3%
N/A							-	-	-		#DIV/0!
N/A							-	-	-		#DIV/0!
N/A							-	-	-		#DIV/0!
Miscellaneous COPS*							-	-	-		#DIV/0!
Total COPS - Other	2,826,150	-	-	-	-	-	2,826,150	2,451,229	374,921		15.3%
Total Cost of Providing Services	5,740,264	-	-	-	-	-	5,740,264	5,396,401	343,863		6.4%
Total Principal Payments on Debt Service in Lieu of Depreciation	1,077,800	-	-	-	-	-	1,077,800	1,031,900	45,900		4.4%
Total Operating Appropriations	9,877,435	-	-	-	-	-	9,877,435	9,266,820	610,615		6.6%
NON-OPERATING APPROPRIATIONS											
Total Interest Payments on Debt	244,300	-	-	-	-	-	244,300	244,400	(100)		0.0%
Operations & Maintenance Reserve							-	-	-		#DIV/0!
Renewal & Replacement Reserve	200,000						200,000	200,000	-		0.0%
Municipality/County Appropriation							-	-	-		#DIV/0!
Other Reserves	250,000						250,000	250,000	-		0.0%
Total Non-Operating Appropriations	694,300	-	-	-	-	-	694,300	694,400	(100)		0.0%
TOTAL APPROPRIATIONS	10,571,735	-	-	-	-	-	10,571,735	9,961,220	610,515		6.1%
ACCUMULATED DEFICIT							-	-	-		#DIV/0!
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	10,571,735	-	-	-	-	-	10,571,735	9,961,220	610,515		6.1%
UNRESTRICTED NET POSITION UTILIZED											
Municipality/County Appropriation							-	-	-		#DIV/0!
Other	200,000						200,000	100,000	100,000		100.0%
Total Unrestricted Net Position Utilized	200,000	-	-	-	-	-	200,000	100,000	100,000		100.0%
TOTAL NET APPROPRIATIONS	\$ 10,371,735	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10,371,735	\$ 9,861,220	\$ 510,515		5.2%

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 493,871.75 \$ - \$ - \$ - \$ - \$ - \$ - \$ 493,871.75

2019 Appropriations Schedule

Rockaway Valley Regional Sewerage Authority

For the Period January 1, 2020 to December 31, 2020

Proposed Budget

	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations
OPERATING APPROPRIATIONS							
<i>Administration - Other</i>							
Administrative Department:							
Professional Services	817,900						\$ 817,900
Seminar/ Conference/ Travel	20,000						20,000
Dues and Membership	8,350						8,350
Miscellaneous	3,000						3,000
Uniform	500						500
JIF Insurance	213,000						213,000
Trustee Fees	111,200						111,200
Administrative Department- Safety							
Professional Services	4,380						4,380
Seminar/ Conference/ Travel	1,500						1,500
Dues and Membership	400						400
Miscellaneous	700						700
Uniform	350						350
Finance Department							
Professional Services	53,000						53,000
Seminar/ Conference/ Travel	4,500						4,500
Dues and Membership	500						500
Miscellaneous	1,500						1,500
Uniform	500						500
Engineering Department							
Professional Services	250,000						250,000
Seminar/ Conference/ Travel	4,000						4,000
Dues and Membership	1,000						1,000
Miscellaneous	500						500
Uniform	1,000						1,000
Engineering Department- IPP							
Seminar/ Conference/ Travel	2,100						2,100
Dues and Membership	400						400
Uniform	650						650
Engineering Department- Electric							
Seminar/ Conference/ Travel	2,000						2,000
Dues and Membership	-						-
Uniform	655						655
Laboratory Department							
Seminar/ Conference/ Travel	2,000						2,000
Dues and Membership	350						350
Uniform	500						500
Operation Department							
Seminar/ Conference/ Travel	12,000						12,000
Dues and Membership	1,500						1,500
Uniform	24,000						24,000
Trunkline Department							
Seminar/ Conference/ Travel	7,500						7,500
Dues and Membership	150						150
Uniform	500						500
Total Administration - Other	1,552,085	-	-	-	-	-	1,552,085

2019 Appropriations Schedule

Rockaway Valley Regional Sewerage Authority

For the Period January 1, 2020 to December 31, 2020

	<i>Proposed Budget</i>						Total All Operations
	Sewer	N/A	N/A	N/A	N/A	N/A	
OPERATING APPROPRIATIONS							
<i>Cost of Providing Services - Other</i>							
Administrative Department:							
Material & Supplies	49,000						49,000
Maintenance Equipment	3,750						3,750
Security	6,000						6,000
Utilities	440,900						440,900
NJPDES Permits	72,680						72,680
Administrative Department- Safety							-
Material & Supplies	2,650						2,650
Maintenance Equipment	2,000						2,000
Finance Department							-
Material & Supplies	1,500						1,500
Maintenance Equipment	-						-
Engineering Department							-
Material & Supplies	1,000						1,000
Engineering Department- IPP							-
Professional Services	60,000						60,000
Material & Supplies	1,700						1,700
Maintenance Equipment							-
Engineering Department- Electric							-
Professional Services							-
Material & Supplies	28,550						28,550
Maintenance Equipment	111,500						111,500
Miscellaneous							-
Laboratory Department							-
Professional Services	24,000						24,000
Material & Supplies	31,000						31,000
Maintenance Equipment	10,200						10,200
Miscellaneous	2,000						2,000
Operation Department							-
Professional Services							-
Material & Supplies	1,431,000						1,431,000
Maintenance Equipment	250,220						250,220
Miscellaneous	1,500						1,500
Trunkline Department							-
Professional Services	29,000						29,000
Material & Supplies	190,000						190,000
Maintenance Equipment	76,000						76,000
Total COPS - Other	2,826,150	-	-	-	-	-	2,826,150

Prior Year Adopted Appropriations Schedule

Rockaway Valley Regional Sewerage Authority

FY 2019 Adopted Budget

	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations
OPERATING APPROPRIATIONS							
<i>Administration - Personnel</i>							
Salary & Wages	\$ 989,386						\$ 989,386
Fringe Benefits	495,418						495,418
Total Administration - Personnel	1,484,804	-	-	-	-	-	1,484,804
<i>Administration - Other (List)</i>							
See attached F-5a	1,353,715						1,353,715
N/A							-
N/A							-
N/A							-
Miscellaneous Administration*							-
Total Administration - Other	1,353,715	-	-	-	-	-	1,353,715
Total Administration	2,838,519	-	-	-	-	-	2,838,519
<i>Cost of Providing Services - Personnel</i>							
Salary & Wages	1,962,490						1,962,490
Fringe Benefits	982,682						982,682
Total COPS - Personnel	2,945,172	-	-	-	-	-	2,945,172
<i>Cost of Providing Services - Other (List)</i>							
See attached F-5a	2,451,229						2,451,229
N/A							-
N/A							-
N/A							-
Miscellaneous COPS*							-
Total COPS - Other	2,451,229	-	-	-	-	-	2,451,229
Total Cost of Providing Services	5,396,401	-	-	-	-	-	5,396,401
Total Principal Payments on Debt Service in Lieu of Depreciation	1,031,900	-	-	-	-	-	1,031,900
Total Operating Appropriations	9,266,820	-	-	-	-	-	9,266,820
NON-OPERATING APPROPRIATIONS							
Total Interest Payments on Debt	244,400	-	-	-	-	-	244,400
Operations & Maintenance Reserve							-
Renewal & Replacement Reserve	200,000						200,000
Municipality/County Appropriation							-
Other Reserves	250,000						250,000
Total Non-Operating Appropriations	694,400	-	-	-	-	-	694,400
TOTAL APPROPRIATIONS	9,961,220	-	-	-	-	-	9,961,220
ACCUMULATED DEFICIT							-
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	9,961,220	-	-	-	-	-	9,961,220
UNRESTRICTED NET POSITION UTILIZED							
Municipality/County Appropriation							-
Other	100,000						100,000
Total Unrestricted Net Position Utilized	100,000	-	-	-	-	-	100,000
TOTAL NET APPROPRIATIONS	\$ 9,861,220	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 9,861,220

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 463,341.00 \$ - \$ - \$ - \$ - \$ - \$ 463,341.00

2019 Appropriations Schedule

Rockaway Valley Regional Sewerage Authority

For the Period January 1, 2020 to December 31, 2020

FY2019 Adopted Budget

	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations
OPERATING APPROPRIATIONS							
<i>Administration - Other</i>							
Administrative Department:							
Professional Services	683,000						\$ 683,000
Seminar/ Conference/ Travel	20,000						20,000
Dues and Membership	8,350						8,350
Miscellaneous	3,000						3,000
Uniform	500						500
JIF Insurance	213,000						213,000
Trustee Fees	53,730						53,730
Administrative Department- Safety							
Professional Services	4,080						4,080
Seminar/ Conference/ Travel	1,500						1,500
Dues and Membership	400						400
Miscellaneous	700						700
Uniform	500						500
Finance Department							
Professional Services	53,000						53,000
Seminar/ Conference/ Travel	5,000						5,000
Dues and Membership	500						500
Miscellaneous	1,500						1,500
Uniform	500						500
Engineering Department							
Professional Services	250,000						250,000
Seminar/ Conference/ Travel	4,000						4,000
Dues and Membership	1,000						1,000
Miscellaneous	500						500
Uniform	1,000						1,000
Engineering Department- IPP							
Seminar/ Conference/ Travel	1,750						1,750
Dues and Membership	450						450
Uniform	650						650
Engineering Department- Electric							
Seminar/ Conference/ Travel	1,200						1,200
Dues and Membership	150						150
Uniform	655						655
Laboratory Department							
Seminar/ Conference/ Travel	2,000						2,000
Dues and Membership	350						350
Uniform	500						500
Operation Department							
Seminar/ Conference/ Travel	10,000						10,000
Dues and Membership	2,100						2,100
Uniform	25,000						25,000
Trunkline Department							
Seminar/ Conference/ Travel	2,500						2,500
Dues and Membership	150						150
Uniform	500						500
Total Administration - Other	1,353,715	-	-	-	-	-	1,353,715

2019 Appropriations Schedule

Rockaway Valley Regional Sewerage Authority

For the Period January 1, 2020 to December 31, 2020

FY2019 Adopted Budget

	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations
OPERATING APPROPRIATIONS							
<i>Cost of Providing Services - Other</i>							
Administrative Department:							
Material & Supplies	45,800						45,800
Maintenance Equipment	3,750						3,750
Security	4,000						4,000
Utilities	440,900						440,900
NJPDES Permits	69,130						69,130
Administrative Department- Safety							-
Material & Supplies	3,000						3,000
Maintenance Equipment	3,500						3,500
Finance Department							-
Material & Supplies	1,500						1,500
Maintenance Equipment	-						-
Engineering Department							-
Material & Supplies	1,000						1,000
Engineering Department- IPP							-
Professional Services	63,600						63,600
Material & Supplies	1,800						1,800
Maintenance Equipment							-
Engineering Department- Electric							-
Professional Services							-
Material & Supplies	28,550						28,550
Maintenance Equipment	97,000						97,000
Miscellaneous							-
Laboratory Department							-
Professional Services	24,000						24,000
Material & Supplies	31,000						31,000
Maintenance Equipment	11,200						11,200
Miscellaneous	2,000						2,000
Operation Department							-
Professional Services							-
Material & Supplies	1,105,000						1,105,000
Maintenance Equipment	222,999						222,999
Miscellaneous	2,000						2,000
Trunkline Department							-
Professional Services	91,000						91,000
Material & Supplies	122,500						122,500
Maintenance Equipment	76,000						76,000
Total COPS - Other	2,451,229	-	-	-	-	-	2,451,229

5 Year Debt Service Schedule - Principal

Rockaway Valley Regional Sewerage Authority

	Fiscal Year Beginning in										Total Principal Outstanding	
	Current Year (2019)	2020	2021	2022	2023	2024	2025	Thereafter				
Sewer												
NJETT 2007 Series B	\$ 359,540		\$ 361,683	\$ 60,739	\$ 107,924	\$ -	\$ 107,924	\$ 107,924	\$ 431,692	\$ -	\$ 784,861	
NJETT 2010 Series A	107,924	107,924	107,924	107,924	107,924	107,924	107,924	107,924	179,000	431,692	1,079,236	
NJETT 2010 Series B	33,000	33,000	37,000	38,000	38,000	43,000	43,000	43,000	179,000	179,000	411,000	
NJETT 2012 Series A	70,000	70,000	75,000	80,000	85,000	90,000	90,000	90,000	625,000	625,000	1,115,000	
NJETT 2012 Series B	87,356	87,356	87,356	87,356	87,356	87,356	87,356	87,356	524,137	524,137	1,048,273	
NJETT 2015 Series A	192,000	204,000	213,000	224,000	234,000	246,000	260,000	260,000	557,000	557,000	1,938,000	
NJETT 2018 Series A	28,459	47,687	52,687	52,687	52,687	52,687	52,687	52,687	1,351,804	1,351,804	1,662,926	
NJETT 2019 Series A	-	67,378	67,378	67,378	72,378	72,378	72,378	72,378	1,819,694	1,819,694	2,238,962	
Temporary Financing*	153,621	98,016	-	-	-	-	-	-	-	-	98,016	
Total Principal	1,031,900	1,077,800	1,002,028	718,084	677,345	699,345	713,345	5,488,327	10,376,274	-	-	

* The NJETT has issued temporary financing for various Authority construction costs. Although repayment schedules have not been issued for this financing, the Authority has anticipated a \$98,016 in principal payments repayment in the 2020 budget. The Authority anticipates permanent financing of this debt in the 2020 year.

5 Year Debt Service Schedule - Interest

Rockaway Valley Regional Sewerage Authority

	Current Year (2019)	Fiscal Year Beginning in					Total Interest Outstanding		
		2020	2021	2022	2023	2024		2025	Thereafter
Sewer									
NJEIT 2010 Series A	\$ 18,525	\$ 17,125	\$ 15,375	\$ 14,175	\$ 12,575	\$ 10,975	\$ 8,880	\$ 19,470	\$ 98,575
NJEIT 2012 Series A	49,276	45,776	42,276	38,526	34,526	30,276	25,776	72,376	289,532
NJEIT 2015 Series A	106,500	96,900	86,700	76,050	64,850	53,150	40,850	42,150	460,650
NJEIT 2018 Series A	13,411	17,750	17,500	17,000	16,500	16,000	15,500	204,900	305,150
NJEIT 2019 Series A	5,139	18,875	18,375	17,875	17,375	16,625	15,875	196,950	301,950
Temporary Financing*	51,549	47,874	-	-	-	-	-	-	47,874
Total Principal	244,400	244,300	180,226	163,626	145,826	127,026	106,881	535,846	1,503,731

* The NJEIT has issued temporary financing for various Authority construction costs. Although repayment schedules have not been issued for this financing, the Authority has anticipated a \$48,124 in interest payments repayment in the 2020 budget. The Authority anticipates permanent financing of this debt in the 2020 year.

Net Position Reconciliation

Rockaway Valley Regional Sewerage Authority

For the Period January 1, 2020 to December 31, 2020

FY 2020 Proposed Budget

	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations
TOTAL NET POSITION BEGINNING OF LATEST AUDIT REPORT YEAR(1)	\$ 29,390,614						\$ 29,390,614
Less: Invested in Capital Assets, Net of Related Debt (1)	37,791,525						37,791,525
Less: Restricted for Debt Service Reserve (1)							
Less: Other Restricted Net Position (1)	131,779						131,779
Total Unrestricted Net Position (1)	(8,532,690)						(8,532,690)
Less: Designated for Non-Operating Improvements & Repairs							
Less: Designated for Rate Stabilization							
Less: Other Designated by Resolution	4,504,754						4,504,754
Plus: Accrued Unfunded Pension Liability (1)	6,961,997						6,961,997
Plus: Accrued Unfunded Other Post-Employment Benefit Liability (1)	9,159,991						9,159,991
Plus: Estimated Income (Loss) on Current Year Operations (2)							
Plus: Other Adjustments (attach schedule)							
UNRESTRICTED NET POSITION AVAILABLE FOR USE IN PROPOSED BUDGET	3,084,544						3,084,544
Unrestricted Net Position Utilized to Balance Proposed Budget	200,000						200,000
Unrestricted Net Position Utilized in Proposed Capital Budget	1,631,000						1,631,000
Appropriation to Municipality/County (3)	-						-
Total Unrestricted Net Position Utilized in Proposed Budget	1,831,000						1,831,000
PROJECTED UNRESTRICTED UNDESIGNATED NET POSITION AT END OF YEAR							
Last issued Audit Report (4)	\$ 1,253,544	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,253,544

(1) Total of all operations for this line item must agree to audited financial statements.

(2) Include budgeted and unbudgeted use of unrestricted net position in the current year's operations.

(3) Amount may not exceed 5% of total operating appropriations. See calculation below.

Maximum Allowable Appropriation to Municipality/County

\$ 493,872 \$ - \$ - \$ - \$ - \$ - \$ 493,872

(4) If Authority is projecting a deficit for any operation at the end of the budget period, the Authority must attach a statement explaining its plan to reduce the deficit, including the timeline for elimination of the deficit, if not already detailed in the budget narrative section.

2020 (2020-2021)
ROCKAWAY
VALLEY
REGIONAL
SEWERAGE
AUTHORITY
CAPITAL
BUDGET/
PROGRAM

2019 CERTIFICATION OF AUTHORITY CAPITAL BUDGET/PROGRAM

ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY

FISCAL YEAR: FROM: JAN. 1, 2020 TO: DEC. 31, 2020

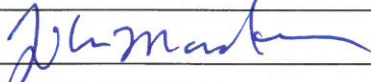
enter X to the left if this paragraph is applicable

It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the Rockaway Valley Regional Sewerage Authority, on the 10th day of October, 2019.

OR

enter X to the left if this paragraph is applicable

It is hereby certified that the governing body of the _____ Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s): _____

Officer's Signature:			
Name:	JOANN MONDSINI		
Title:	EXECUTIVE DIRECTOR		
Address:	RD 1, 99 GREENBANK ROAD BOONTON, NJ 07005		
Phone Number:	973-263-1555	Fax Number:	973-263-9068
E-mail address	JMONDSINI@RVRSA.ORG		

2020 (2020-2021) CAPITAL BUDGET/PROGRAM MESSAGE

Rockaway Valley Regional Sewerage Authority

FISCAL YEAR: FROM: JAN. 1, 2020 TO: DEC. 31, 2020

1. Has each municipality or county affected by the actions of the authority participated in the development of the capital plan and reviewed or approved the plans or projects included within the Capital Budget/Program (This may include the governing body or certain officials such as planning boards, Construction Code Officials) as to these Projects?

YES.

2. Has each capital project/project financing been developed from a specific capital improvement plan or report; does it include full lifecycle costs; and is it consistent with appropriate elements of Master Plans or other plans in the jurisdiction(s) served by the authority?

THE AUTHORITY EVALUATES ITS CAPITAL NEEDS ON AN ANNUAL BASIS AND BY A FIVE YEAR ENGINEERING EVALUATION REPORT.

3. Has a long-term (5 years or more) infrastructure needs and other capital items (Vehicles, Equipment) needs assessment been prepared?

YES. THE AUTHORITY DID A FIVE-YEAR ENGINEERING EVALUATION REPORT.

4. If amounts are on Page CB-3 in the column Debt Authorizations. Indicate the primary source of funding the debt service for the Debt Authorizations (Example Rate Increases Funding or Other sources)

PROJECTS LISTED ON PAGE CB-3 IN THE DEBT AUTHORIZATION COLUMN WILL BE FUNDED BY TEMPORARY FINANCING THROUGH THE NEW JERSEY INFRASTRUCTURE BANK ("NJIB"). WHEN THE PROJECTS ARE COMPLETED, THE NJIB WILL ISSUE BONDS TO PERMANENTLY FINANCE THESE TEMPORARY LOANS. AT THIS TIME, THE AUTHORITY WILL FUND DEBT SERVICE PAYMENTS THROUGH THE OPERATING FUND THROUGH EITHER RATE INCREASES OR UTILIZATION OF NET POSITION (FOR RATE STABILIZATION PURPOSES).

5. Please indicate which capital projects/project financings are being undertaken in the Metropolitan or Suburban Planning Areas as defined in the State Development and Redevelopment Plan.

NOT APPLICABLE.

6. Please indicate which capital projects/project financings are being undertaken within the boundary of a State Planning Commission-designated Center and/or Endorsed Plan and if the project was included in the Plan Implementation Agenda for that Center/Endorsed Plan.

NOT APPLICABLE.

Proposed Capital Budget

Rockaway Valley Regional Sewerage Authority
 For the Period January 1, 2020 to December 31, 2020

	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<i>Sewer</i>						
See attached "CB-3a"	\$ 27,037,500	\$1,631,000	\$0	\$24,686,500	\$0	\$720,000
N/A	-					
N/A	-					
N/A	-					
Total	27,037,500	1,631,000	-	24,686,500	-	720,000
<i>N/A</i>						
N/A	-					
N/A	-					
N/A	-					
N/A	-					
Total	-	-	-	-	-	-
<i>N/A</i>						
N/A	-					
N/A	-					
N/A	-					
N/A	-					
Total	-	-	-	-	-	-
<i>N/A</i>						
N/A	-					
N/A	-					
N/A	-					
N/A	-					
Total	-	-	-	-	-	-
<i>N/A</i>						
N/A	-					
N/A	-					
N/A	-					
N/A	-					
Total	-	-	-	-	-	-
TOTAL PROPOSED CAPITAL BUDGET	\$ 27,037,500	\$ 1,631,000	\$ -	\$ 24,686,500	\$ -	\$ 720,000

Enter brief description of up to four projects for each operation above. For operations with more than four budgeted projects, please attach additional schedules. Input total amount of all projects for the operation on single line and enter "See Attached Schedule" instead of project description.

2015 Proposed Capital Budget

Rockaway Valley Regional Sewerage Authority

For the Period January 1, 2020 to

December 31, 2020

Sewer	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants Other Sources	
Administrative Capital Projects						
Computer Plotter& Software	10,000	10,000				
File Cabinet/ Furniture	5,000	5,000				
Security Surveillance	18,000	18,000				
Miscellaneous	8,000	8,000				
Fireman Home Access Agreement	20,000	20,000				
Engineering Capital Projects						
Odor Control Equipments	50,000	50,000				
Infrastructure Upgrade	175,000	175,000				
Electrical Breakers	35,000	35,000				
Building Misc. Upgrade	18,000	18,000				
Computer Plotter& Software	3,000	3,000				
Interceptor Sewer Rehab	100,000	100,000				
Asset Management	28,000	28,000				
Dog House for Water	30,000	30,000				
Boonton Interceptor Relining	370,000				370,000	
Emergency Generator & Substation	350,000				350,000	
Laboratory Capital Projects						
Laboratory Equipment	11,000	11,000				
Operation Capital Projects						
Oxidation Equipment	115,000	115,000				
Pumping Equipment	108,000	108,000				
Vehicles	103,000	103,000				
Facilities Building Repair	325,000	325,000				
Miscellaneous Equipment	199,000	199,000				
Trunkline Capital Projects						
Trunkline Equipment	80,000	80,000				
Meter Chamber Misc Equip	190,000	190,000				
Environmental Infrastructure Trust Prog-Debt Authorized						
NJEIT-Debt Authorized, Interceptor	1,089,700		1,089,700			
NJEIT-Debt Authorized, Monroe St. Pump	96,800		96,800			
NJEIT Debt Authorized Emergency Generator	3,000,000		3,000,000			
NJEIT Debt Authorized Final Clarifiers D & C	2,700,000		2,700,000			
NJEIT Debt Authorized Phosphorous	14,000,000		14,000,000			
NJEIT Debt Authorized Boonton Interceptor	3,800,000		3,800,000			
Total	\$27,037,500	\$1,631,000	\$0	\$24,686,500	\$0	\$720,000

5 Year Capital Improvement Plan

Rockaway Valley Regional Sewerage Authority

For the Period January 1, 2020 to December 31, 2020

Fiscal Year Beginning in

	<u>Estimated Total Cost</u>	<u>Current Budget Year 2020</u>	<u>2021</u>	<u>2022</u>	<u>2023</u>	<u>2024</u>	<u>2025</u>
<i>Sewer</i>							
See attached "CB-3a"	\$ 27,037,500	\$ 27,037,500	\$ -	\$ -	\$ -	\$ -	\$ -
N/A	-	-					
N/A	-	-					
N/A	-	-					
Total	<u>27,037,500</u>	<u>27,037,500</u>	-	-	-	-	-
<i>N/A</i>							
N/A	-	-					
N/A	-	-					
N/A	-	-					
N/A	-	-					
Total	<u>-</u>	<u>-</u>	-	-	-	-	-
<i>N/A</i>							
N/A	-	-					
N/A	-	-					
N/A	-	-					
N/A	-	-					
Total	<u>-</u>	<u>-</u>	-	-	-	-	-
<i>N/A</i>							
N/A	-	-					
N/A	-	-					
N/A	-	-					
N/A	-	-					
Total	<u>-</u>	<u>-</u>	-	-	-	-	-
<i>N/A</i>							
N/A	-	-					
N/A	-	-					
N/A	-	-					
N/A	-	-					
Total	<u>-</u>	<u>-</u>	-	-	-	-	-
TOTAL	<u><u>\$ 27,037,500</u></u>	<u><u>\$ 27,037,500</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

5 Year Capital Improvement Plan Funding Sources

Rockaway Valley Regional Sewerage Authority

For the Period January 1, 2020 to December 31, 2020

	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<i>Sewer</i>						
See attached "CB-3a"	\$ 27,037,500	\$1,631,000	\$0	\$24,686,500	\$0	\$720,000
N/A	-					
N/A	-					
N/A	-					
Total	27,037,500	1,631,000	-	24,686,500	-	720,000
<i>N/A</i>						
N/A	-					
N/A	-					
N/A	-					
N/A	-					
Total	-	-	-	-	-	-
<i>N/A</i>						
N/A	-					
N/A	-					
N/A	-					
N/A	-					
Total	-	-	-	-	-	-
<i>N/A</i>						
N/A	-					
N/A	-					
N/A	-					
N/A	-					
Total	-	-	-	-	-	-
<i>N/A</i>						
N/A	-					
N/A	-					
N/A	-					
N/A	-					
Total	-	-	-	-	-	-
TOTAL	\$ 27,037,500	\$ 1,631,000	\$ -	\$ 24,686,500	\$ -	\$ 720,000
Total 5 Year Plan per CB-4	\$ 27,037,500					
Balance check		- If amount is other than zero, verify that projects listed above match projects listed on CB-4.				

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.