

Authority Budget of:

ADOPTED COPY

Rockaway Valley Regional Sewerage Authority

State Filing Year

2019

For the Period:

January 1, 2019

to

December 31, 2019

RECEIVED

OCT 31 2018

R.V.R.S.A.

www.rvrsa.org

Authority Web Address

ADOPTED COPY

Department Of



Community
Affairs

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R.V.R.S.A.

Division of Local Government Services

2019 AUTHORITY BUDGET

Certification Section

2019

**ROCKAWAY VALLEY REGIONAL SEWERAGE
AUTHORITY BUDGET**

FISCAL YEAR: FROM JAN. 1, 2019 TO DEC. 31, 2019

For Division Use Only

CERTIFICATION OF APPROVED BUDGET

It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S.A. 40A:5A-11.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: Paul D. Cwert CPA, RMA Date: 10/25/2018

CERTIFICATION OF ADOPTED BUDGET

It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: Paul D. Cwert CPA, RMA Date: 12/1/2018

2019 PREPARER'S CERTIFICATION

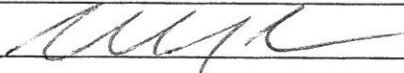
ROCKAWAY VALLEY REGIONAL SEWERAGE

AUTHORITY BUDGET

FISCAL YEAR: FROM: JAN. 1, 2019 TO: DEC. 31, 2019

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that: all estimates of revenue are reasonable, accurate and correctly stated; all items of appropriation are properly set forth; and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all required schedules are completed and attached.

Preparer's Signature:			
Name:	PAUL J. CUVA (1)		
Title:	AUDITOR		
Address:	401 WANAQUE AVENUE POMPTON LAKES, NJ 07442		
Phone Number:	973-835-7900	Fax Number:	973-835-6631
E-mail address	<u>FWCC@OPTONLINE.NET</u>		

- (1) I have assisted in the preparation of this budget with information provided to me by the Rockaway Valley Regional Sewerage Authority.


2019 APPROVAL CERTIFICATION

ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY BUDGET

FISCAL YEAR: FROM: JAN 01, 2019 TO: DEC 31, 2019

It is hereby certified that the Authority Budget, including all schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the Rockaway Valley Regional Sewerage Authority, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 11th day of October , 2018.

It is further certified that the recorded vote appearing in the resolution represents not less than a majority of the full membership of the governing body thereof.

Officer's Signature:			
Name:	HECTOR SCHORNO		
Title:	BOARD CHAIRMAN		
Address:	RD1, 99 GREENBANK ROAD BOONTON, NJ 07005		
Phone Number:	973-263-1555	Fax Number:	973-263-9068
E-mail address	HSCHORNO@RVRSA.ORG JARHED57@HOTMAIL.COM		

INTERNET WEBSITE CERTIFICATION

Authority's Web Address: www.RVRSA.org

All authorities shall maintain either an Internet website or a webpage on the municipality's or county's Internet website. The purpose of the website or webpage shall be to provide increased public access to the authority's operations and activities. N.J.S.A. 40A:5A-17.1 requires the following items to be included on the Authority's website at a minimum for public disclosure. Check the boxes below to certify the Authority's compliance with N.J.S.A. 40A:5A-17.1.

- A description of the Authority's mission and responsibilities
- Budgets for the current fiscal year and immediately preceding two prior years
- The most recent Comprehensive Annual Financial Report (Unaudited) or similar financial information (**Similar Information is such as PIE Charts, Bar Graphs etc. for such items as Revenues, Expenditures, and other information the Authority deems relevant to inform the public**)
- The annual audits of the most recent fiscal year and immediately two prior years
- The Authority's rules, regulations and official policy statements deemed relevant by the governing body of the authority to the interests of the residents within the authority's service area or jurisdiction
- Notice posted pursuant to the "Open Public Meetings Act" for each meeting of the Authority, setting forth the time, date, location and agenda of each meeting
- The approved minutes of each meeting of the Authority including all resolutions of the board and their committees; for at least three consecutive fiscal years
- The name, mailing address, electronic mail address and phone number of every person who exercises day-to-day supervision or management over some or all of the operations of the Authority
- A list of attorneys, advisors, consultants and any other person, firm, business, partnership, corporation or other organization which received any remuneration of \$17,500 or more during the preceding fiscal year for any service whatsoever rendered to the Authority.

It is hereby certified by the below authorized representative of the Authority that the Authority's website or webpage as identified above complies with the minimum statutory requirements of N.J.S.A. 40A:5A-17.1 as listed above. A check in each of the above boxes signifies compliance.

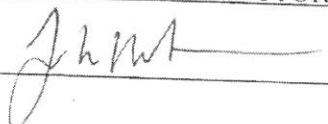
Name of Officer Certifying compliance

JOANN MONDSINI

Title of Officer Certifying compliance

EXECUTIVE DIRECTOR

Signature



2018 AUTHORITY BUDGET RESOLUTION

ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY

FISCAL YEAR PERIOD JANUARY 1, 2019 THROUGH DECEMBER 31, 2019

WHEREAS, the Annual Budget and Capital Budget for the Rockaway Valley Regional Sewerage Authority for the fiscal year period beginning January 1, 2019 and ending December 31, 2019 has been introduced before the Board of the Rockaway Valley Regional Sewerage Authority at its open public regular meeting of October 11, 2018; and

WHEREAS, the Annual Budget as introduced reflects Total Revenues of \$9,861,220 and Total Appropriations, including any Accumulated Deficit if any, of \$9,961,220 and Total Unreserved Retained Earnings utilized of \$ 100,000; and

WHEREAS, the Capital Budget as introduced reflects Total Capital Appropriations of \$22,269,300, Total Debt Authorized of \$20,292,300, Total Unrestricted Net Position of \$1,977,000 planned to be utilized as funding thereof; and

WHEREAS, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation of terms of contracts and agreements; and

WHEREAS, the Capital Budget/Program pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

NOW, THEREFORE BE IT RESOLVED, by the members of the Rockaway Valley Regional Sewerage Authority, at its open public regular meeting held on October 11, 2018 that the

Annual Budget, including Supplemental Schedules, and the Capital Budget/Program of the Rockaway Valley Regional Sewerage Authority for the fiscal year beginning January 1, 2019 and ending December 31, 2019 is hereby approved; and

BE IT FURTHER RESOLVED, that the anticipated revenues as reflected in the Annual Budget are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the said Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements; and

BE IT FURTHER RESOLVED, that the members of the Rockaway Valley Regional Sewerage Authority will consider the Annual Budget and Capital Budget/ Program for adoption on November 8, 2018.

I certify that this resolution was adopted at a regular meeting of the Rockaway Valley Regional Sewerage Authority held on October 11, 2018.

On the motion of Glenn Corbett

Second by John Cegelka

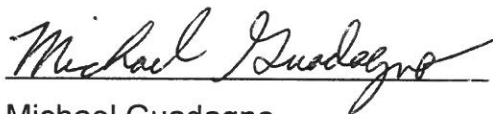
And a roll call vote of:

Yeas: (7) Andes, Cegelka, Corbett, Guadagno, Isselin, Rossi, Schorno

Nays: (0) None

Abstain: (0) None

Absent: (2) Lowell, Recchia, and Vincitore



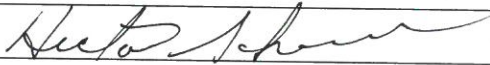
Michael Guadagno
Board Secretary

2019 ADOPTION CERTIFICATION

ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY BUDGET

FISCAL YEAR: FROM: Jan 01, 2019 TO: Dec 31, 2019

It is hereby certified that the Authority Budget and Capital Budget/Program annexed hereto is a true copy of the Budget adopted by the governing body of the Rockaway Valley Regional Sewerage Authority, pursuant to N.J.A.C. 5:31-2.3, on the 8th day of, November, 2019.

Officer's Signature:			
Name:	HECTOR SCHORNO		
Title:	BOARD CHAIRMAN		
Address:	RD1, 99 GREENBANK ROAD BOONTON, NJ 07005		
Phone Number:	973-263-1555	Fax Number:	973-263-9068
E-mail address	HSCHORNO@RVRSA.ORG		

Resolution 18-115

**2019 ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY
ADOPTED BUDGET RESOLUTION**

FISCAL YEAR: FROM JANUARY 1, 2019 TO DECEMBER 31, 2019

WHEREAS, the Annual Budget and Capital Budget/Program for the Rockaway Valley Regional Sewerage Authority for the fiscal year beginning January 1, 2019 and ending December 31, 2019 has been presented for adoption before the governing body of the Rockaway Valley Regional Sewerage Authority at its open public meeting of November 08, 2018; and

WHEREAS, the Annual Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

WHEREAS, the Annual Budget as presented for adoption reflects Total Revenues of \$9,861,220, Total Appropriations, including any Accumulated Deficit if any, of \$9,961,220 and Total Unrestricted Net Position utilized of \$100,000; and

WHEREAS, the Capital Budget as presented for adoption reflects, Total Capital Appropriations of \$22,269,300, and Total Unrestricted Net Position of \$1,607,000; Total Debt Authorized of \$20,292,300; Total Other Source: Unrestricted Net Position – Designated for Renewal and Replacement of \$370,000 planned to be utilized as funding thereof; and

NOW, THEREFORE BE IT RESOLVED, by the governing body of Rockaway Valley Regional Sewerage Authority, at an open public meeting held on November 08, 2018 that the Annual Budget and Capital Budget/Program of the Rockaway Valley Regional Sewerage Authority for the fiscal year beginning January 01, 2019 and ending December 31, 2019 is hereby adopted and shall constitute appropriations for the purposes stated; and

BE IT FURTHER RESOLVED, that the Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.

CERTIFICATION

I hereby certify that this Resolution was adopted at a meeting of the Rockaway Valley Regional Sewerage Authority held on November 08, 2018.

On motion of Glenn Corbett

Second by Michael Guadagno

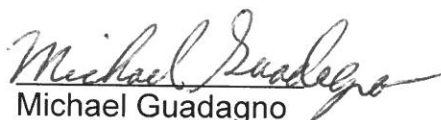
And a Roll Call Vote as follows:

Yeas: (8) Andes, Cegelka, Corbett, Guadagno, Isselin, Lowell, Rossi, Schorno

Nays: (0) None

Abstain: (0) None

Absent: (2) Recchia and Vincitore


Michael Guadagno
Board Secretary

2019 AUTHORITY BUDGET

Narrative and Information Section

2019 AUTHORITY BUDGET MESSAGE & ANALYSIS ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY BUDGET

FISCAL YEAR: FROM: JAN. 1, 2019 TO: DEC. 31, 2019

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2019/2019-2020 proposed Annual Budget and make comparison to the 2018/2018-2019 adopted budget for each operation. Explain any variances over +/-10% (**As shown on budget page F-4 explain the reason for changes for each appropriation changing more than 10%**) for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

THE AUTHORITY IS PROPOSING A \$267,069 (2.8%) INCREASE IN OVERALL APPROPRIATIONS FROM THE PREVIOUS YEAR. "ADMINISTRATION – OTHER" DECREASED \$314,166 (18.8%) IN THE PROPOSED 2019 BUDGET DUE TO AN ANTICIPATED DECREASE IN LEGAL FEES (APPROXIMATELY \$320,000). "COST OF PROVIDING SERVICES – FRINGE BENEFITS" INCREASED \$94,702 (10.7% IN THE PROPOSED 2019 BUDGET DUE TO AN ANTICIPATED INCREASE IN EMPLOYEE HEALTH BENEFIT AND PENSION COSTS. "UNRESTRICTED NET POSITION UTILIZED" DECREASED \$336,961 (77.1%) DUE TO THE ANTICIPATED DECREASE IN LEGAL FEES FOR THE 2019 YEAR. HEALTH BENEFITS REPORTED ON PAGE N-5 INCREASED 32.5% DUE TO THE ANTICIPATED RETIREMENT OF TWO EMPLOYEES AND HIRING OF REPLACEMENT EMPLOYEES.

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% (**As shown on budget page F-2 explain reason for change for each revenue changing more than 10%**) from the current year adopted budget.

THE AUTHORITY IS PROPOSING A \$267,069 (2.8%) INCREASE IN ANTICIPATED REVENUS FROM THE PREVIOUS YEAR. THIS INCLUDES A PLANNED \$3,000 (66.7%) DECREASE IN INDUSTRIAL APPLICATION FEES, \$70,000 (28.0%) DECREASE IN IPP SURCHARGES, \$4,000 (15.4%) INCREASE IN INDUSTRIAL ANNUAL FEES AND \$4,000 (22.2%) INCREASE IN UNUSED CAPACITY CHARGE, ALL DUE TO ANTICIPATED ACTIVITY IN THE 2019 FISCAL YEAR.

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

THE LOCAL ECONOMY HAS BEEN STEADILY IMPROVING IN THE AREAS SERVICED BY THE AUTHORITY. THE ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY HAS CONSIDERING THIS FACTOR WHILE PREPARING THE 2019 BUDGET.

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

THE AUTHORITY IS UTILIZING \$100,000 IN UNRESTRICTED NET POSITION IN THE OPERATING BUDGET AND \$1,607,000 IN THE CAPITAL BUDGET IN ORDER TO KEEP PARTICIPANT CHARGES STABLE.

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

NOT APPLICABLE.

6. The proposed budget must not reflect an anticipated deficit from 2019/2019-2020 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question. (**Prepare a response to deficits caused by the implementation of GASB 68**)

THE AUTHORITY REPORTED A \$3,643,380 DEFICIT IN UNRESTRICTED, UNDESIGNATED NET POSITION IN ITS AUDIT FOR THE YEAR ENDING DECEMBER 31, 2017. \$6,996,506 OF THIS DEFICIT IS DIRECTLY ATTRIBUTABLE TO THE IMPLEMENTATION OF GASB STATEMENT NO. 68. \$3,353,126 OF THE REMAINING UNRESTRICTED, UNDESIGNATED NET POSITION WAS AVAILABLE FOR USE IN FUTURE BUDGETS AS OF DECEMBER 31, 2017.

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) **if it has been changed since the prior year budget submission** and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

NOT APPLICABLE.

AUTHORITY CONTACT INFORMATION

2019

Please complete the following information regarding this Authority. All information requested below must be completed.

Name of Authority:	ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY		
Federal ID Number:	22-1950825		
Address:	RD. 1, 99 GREENBANK ROAD		
City, State, Zip:	BOONTON	NJ	07005
Phone: (ext.)	973-263-1555	Fax:	973-263-9068

Preparer's Name:	PAUL J. CUVA (1)		
Preparer's Address:	401 WANAQUE AVENUE		
City, State, Zip:	POMPTON LAKES	NJ	07442
Phone: (ext.)	973-835-7900	Fax:	973-835-6631
E-mail:	FWCC@OPTONLINE.NET		

Chief Executive Officer:	JOANN MONDSINI		
Phone: (ext.)	973-263-1555	Fax:	973-263-9068
E-mail:	JMONDSINI@RVRSA.ORG		

Chief Financial Officer:	B.K. SANDY THAI		
Phone: (ext.)	973-263-1555	Fax:	973-263-9068
E-mail:	SANDY@RVRSA.ORG		

Name of Auditor:	PAUL J. CUVA		
Name of Firm:	FERRAIOLI, WIELKOTZ, CERULLO & CUVA, P.A.		
Address:	401 WANAQUE AVENUE		
City, State, Zip:	POMPTON LAKES	NJ	07442
Phone: (ext.)	973-835-7900	Fax:	973-835-6631
E-mail:	FWCC@OPTONLINE.NET		

Authority Information Questionnaire
Rockaway Valley Regional Sewerage Authority

Fiscal Year: 2019 From: January 01 to: December 31

- 1) Provide the number of individuals employed in (Use Most Recent W-3 Available 2017 or 2018) as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: 43
- 2) Provide the amount of total salaries and wages as reported on the Authority's Form W-3, (Use Most Recent W-3 Available 2017 or 2018) Transmittal of Wage and Tax Statements: 2,826,140.77
- 3) Provide the number of regular voting members of the governing body: 10
- 4) Provide the number of alternate voting members of the governing body: 0
- 5) Did any person listed on Page N-4 have a family or business relationship with any other person listed on Page N-4 during the current fiscal year? No *If "yes," attach a description of the relationship including the names of the individuals involved and their positions at the Authority.*
- 6) Did all individuals that were required to file a Financial Disclosure Statement for the current fiscal year (Most Recent Filing that March 31, 2018 or 2019 deadline has passed 2018 or 2019) because of their relationship with the Authority file the form as required? (Checked to see if individuals actually filed at <http://www.state.nj.us/dca/divisions/glgs/resources/fds.html> before answering) Yes *If "no," provide a list of those individuals who failed to file a Financial Disclosure Statement and an explanation as to the reason for their failure to file.*
- 7) Does the Authority have any amounts receivable from current or former commissioners, officers, key employees or highest compensated employees? No *If "yes," attach a list of those individuals, their position, the amount receivable, and a description of the amount due to the Authority.*
- 8) Was the Authority a party to a business transaction with one of the following parties:
 - a. A current or former commissioner, officer, key employee, or highest compensated employee? No
 - b. A family member of a current or former commissioner, officer, key employee, or highest compensated employee? No, not to the knowledge of RVRSA.
 - c. An entity of which a current or former commissioner, officer, key employee, or highest compensated employee (or family member thereof) was an officer or direct or indirect owner? No*If the answer to any of the above is "yes," attach a description of the transaction including the name of the commissioner, officer, key employee, or highest compensated employee (or family member thereof) of the Authority; the name of the entity and relationship to the individual or family member; the amount paid; and whether the transaction was subject to a competitive bid process.*
- 9) Did the Authority during the most recent fiscal year pay premiums, directly or indirectly, on a personal benefit contract? A personal benefit contract is generally any life insurance, annuity, or endowment contract that benefits, directly or indirectly, the transferor, a member of the transferor's family, or any other person designated by the transferor. No *If "yes," attach a description of the arrangement, the premiums paid, and indicate the beneficiary of the contract.*

10) Explain the Authority's process for determining compensation for all persons listed on Page N-4. Include whether the Authority's process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic performance evaluation; 4) independent compensation consultant; and/or 5) written employment contract. *Attach narrative of your Authorities procedures for all employees.*

A: The RVRSA consults with other Authorities of similar size to determine the appropriate compensation for management employees, in addition, RVRSA Board passed a resolution # 17-146 to adopting recommended salary range for non-union employees. Annual increases are determined by an annual performance review and increases are merit based. In addition, the Executive Director presents her recommendations to the Executive Committee. The Executive Committee then authorizes, modifies or rejects the recommended increases to management salaries. The Resolution is attached

11) Did the Authority pay for meals or catering during the current fiscal year? Yes *If "yes," attach a detailed list of all meals and/or catering invoices for the current fiscal year and provide an explanation for each expenditure listed.*

A: (1) RVRSA provides a light dinner with soda, water and coffee to the commissioners prior to the regular monthly board meeting. (2) RVRSA also provides sandwiches or snacks for committee meetings if they are held during breakfast, lunch or dinner hours, (3) RVRSA provides the meal/catering for the annual award luncheon, (4) RVRSA provides coffee/tea to employees. (5) Light snacks, coffee or lunch provide to the meetings with consultants/staff, (6) RVRSA also provides one annual dinner for the commissioners and staff who attend the Annual League of Municipalities Conference/AEA Conference. The meals/catering invoices are attached.

12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? Yes *If "yes," attach a detailed list of all travel expenses for the current fiscal year and provide an explanation for each expenditure listed.*

A: In accordance with the RVRSA By-Laws, Commissioners are authorized to attend one conference per year with prior written approval of the Chairman and Executive Director and are reimbursed for all costs associated with the conference. In addition, as per N.J.S.A. 40:14A-5(d), and the by-laws of the Authority, Commissioners are reimbursed for their travel expenses to attend regular monthly meetings and Committee meetings.

13) Did the Authority provide any of the following to or for a person listed on Page N-4 or any other employee of the Authority:

- a. First class or charter travel No
- b. Travel for companions No
- c. Tax indemnification and gross-up payments No
- d. Discretionary spending account No
- e. Housing allowance or residence for personal use No
- f. Payments for business use of personal residence No
- g. Vehicle/auto allowance or vehicle for personal use Yes
- h. Health or social club dues or initiation fees No
- i. Personal services (i.e.: maid, chauffeur, chef) No

If the answer to any of the above is "yes," attach a description of the transaction including the name and position of the individual and the amount expended.

A: The RVRSA provides a vehicle for the Executive Director, JoAnn Mondsin, to drive from her residence to work.

14) Did the Authority follow a written policy regarding payment or reimbursement for expenses incurred by employees and/or commissioners during the course of Authority business and does that policy require substantiation of expenses through receipts or invoices prior to reimbursement? See the answer below *If "no," attach an explanation of the Authority's process for reimbursing employees and commissioners for expenses. (If your authority does not allow for reimbursements indicate that in answer)*

A: RVRSA has a conference and seminar request form for employees and a resolution # 13- 050 with written conference travel and expense reimbursement policy for commissioners. Please find them attached for your reference. Based on past practice, the employees of RVRSA have to submit their meals and other receipts and invoices from the conference, seminar or course for expense reimbursement.

15) Did the Authority make any payments to current or former commissioners or employees for severance or termination? Yes *If "yes," attach explanation including amount paid.*

A: RVRSA paid a severance pay to a terminated employee for the separation agreement, the total amount of severance pay was \$26,040.42, in addition RVRSA paid \$2,000 for a outplacement services to this terminated employee.

16) Did the Authority make any payments to current or former commissioners or employees that were contingent upon the performance of the Authority or that were considered discretionary bonuses? No *If "yes," attach explanation including amount paid.*

17) Did the Authority comply with its Continuing Disclosure Agreements for all debt issuances outstanding by submitting its audited annual financial statements, annual operating data, and notice of material events to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) as required? N/A *If "no," attach a description of the Authority's plan to ensure compliance with its Continuing Disclosure Agreements in the future.*

18) Did the Authority receive any notices from the Department of Environmental Protection or any other entity regarding maintenance or repairs required to the Authority's systems to bring them into compliance with current regulations and standards that it has not yet taken action to remediate? No *If "yes," attach explanation as to why the Authority has not yet undertaken the required maintenance or repairs and describe the Authority's plan to address the conditions identified.*

19) Did the Authority receive any notices of fines or assessments from the Department of Environmental Protection or any other entity due to noncompliance with current regulations (i.e.: sewer overflow, etc.)? No *If "yes," attach a description of the event or condition that resulted in the fine or assessment and indicate the amount of the fine or assessment.*

RESOLUTION 17-146

RESOLUTION ADOPTING RECOMMENDED SALARY RANGES FOR NON-UNION EMPLOYEES

WHEREAS, Rockaway Valley Regional Sewerage Authority, (RVRSA), requested that a salary survey be conducted of all non-union employees in order to assure that RVRSA's salary structure for its non-union employees is consistent with current salary levels in the relevant, comparable job market; and

WHEREAS, the survey was conducted by RVRSA's Human Resources Consultant, and an analysis of the salaries of non-union RVRSA employees as well as the salaries of those in similar positions at sewerage authorities of comparable size to RVRSA was completed; and

WHEREAS, the results of that survey have been used to create a series of salary ranges for RVRSA's non-union job titles; and

WHEREAS, the RVRSA Board deems it to be in the public interest for these salary ranges to be adopted and used in determining the level of appropriate salary compensation for RVRSA's non-union employees.

NOW, THEREFORE, BE IT RESOLVED by Rockaway Valley Regional Sewerage Authority on this 14th day of December, 2017, as follows:

1. Non-union employees shall be paid in accordance with the following salary ranges:

<u>Title</u>	<u>Min</u>	<u>Max</u>
<u>Executive Director</u>	<u>\$111,000</u>	<u>\$159,000</u>
<u>Executive Secretary/Records Clerk/Asst. Board Secretary</u>	<u>\$64,458</u>	<u>\$93,132</u>
<u>Records Clerk I (hourly)</u>	<u>\$17.09</u>	<u>\$19.04</u>
<u>Records Clerk II (hourly)</u>	<u>\$18.24</u>	<u>\$19.84</u>
<u>Manager of Engineering, P.E.</u>	<u>\$85,000</u>	<u>\$132,000</u>
<u>Chief Financial Officer/Asst. Board Treasurer/QPA</u>	<u>\$86,900</u>	<u>\$115,500</u>
<u>Benefits/Payroll Clerk/QPA/Asst. to CFO</u>	<u>\$51,000</u>	<u>\$91,000</u>
<u>Safety Coordinator</u>	<u>\$65,780</u>	<u>\$95,700</u>

<u>IPP Coordinator</u>	<u>\$69,792</u>	<u>\$90,188</u>
<u>IPP Inspector (hourly)</u>	<u>\$23.08</u>	<u>\$30.77</u>
<u>Plant Manager</u>	<u>\$94,000</u>	<u>\$118,183</u>
<u>Assistant Plant Manager</u>	<u>\$80,000</u>	<u>\$105,600</u>
<u>Trunk Line Manager</u>	<u>\$70,000</u>	<u>\$116,000</u>
<u>Engineer I</u>	<u>\$70,000</u>	<u>\$77,678</u>
<u>Engineer II</u>	<u>\$72,000</u>	<u>\$90,917</u>
<u>Electrical Engineer</u>	<u>\$72,000</u>	<u>\$146,820</u>
<u>Engineering Intern (hourly)</u>	<u>\$12.01</u>	<u>\$24.96</u>
<u>Laboratory Manager</u>	<u>\$62,000</u>	<u>\$119,000</u>
<u>Laboratory Technician (hourly)</u>	<u>\$24.60</u>	<u>\$42.50</u>
<u>Laboratory Intern (hourly)</u>	<u>\$16.41</u>	<u>\$23.59</u>

2. Except as described in Paragraph 3, below, the Executive Director is authorized to place each current and prospective non-union employee at the appropriate salary level within the established salary range for the employee's job title, and to adjust said employees' salary levels within the established salary ranges from time to time in accordance with established RVRSA policies, subject in each instance to the approval of the Executive Committee of the RVRSA Board. Time in service, current salary level and salary history, prior work experience, demonstrated record of job performance and other relevant qualifications (e.g., evaluations, extent of educational attainment, and specialized training or credentials), as well as market conditions and budgetary constraints, will be considered when determining a current or prospective employee's salary level within the established salary range for the current or prospective employee's job title.
3. Notwithstanding any other provision hereof to the contrary, the determination of the salary of the Executive Director within the Executive Director's established salary range shall be determined by, and shall be within the sole and exclusive authority of, the RVRSA Board.
4. This Resolution shall take effect immediately, and shall remain in effect until amended, modified or repealed.

I hereby certify that this Resolution was adopted at a meeting of Rockaway Valley Regional Sewerage Authority held on the 14th day of December, 2017.

On motion of Hector Schorno

Seconded by Michael Guadagno

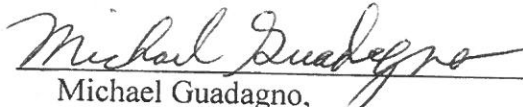
And a Roll Call Vote as Follows:

Yeas: (8) Andes, Cegelka, Corbett, Guadagno, Lowell, Recchia, Rossi, Schorno

Nays: (0) None

Abstain: (1) Vincitore

Absent: (0) None


Michael Guadagno,
Board Secretary

Rockaway Valley Regional Sewerage Authority

Page N-3, Question # 12, The List of the 2018 Travel Expenses Reimbursement

From Jan 01 to October 30, 2018

Commissioner	Conference Registration	Airfare & Taxi to Attend Conference	Hotel Accommodation to Attended Conference	Mileage, Tolls Reimbursement to Attended Conference/ Seminar/ Classes	Meals to Attended Conference	Mileage Reimbursement to Attended Meetings
Hector R. Schorno						98.11
John Cegelka						148.24
Michael Guadagno	670.00	641.40	419.72			
Joseph Lowell	170.00		441.00			
Thomas M. Andes	350.00					
Aurelio Vincitore	725.00	463.80	879.40		300.00	219.30

Employee	Conference/ Seminar/ Classes Registration	Airfare to Attend Conference	Hotel Accommodation to Attended Conference	Mileage, Tolls Reimbursement to Attended Conference/ Seminar/ Classes	Meals to Attended Conference	Mileage Reimbursement to Attended Meetings
Bonaccorso	913.00		441.00	119.90		
Bocchino	1,037.00		780.00			
Belli	165.00		147.00			
Brush				68.36		92.83
Hunter	795.00					53.63
Leahy	97.00					
McManus	252.00					
McNamara	17.00					
Mondsini	976.00		780.00			
Pecorella	1,352.00					
Pisarcik	357.00		226.00	389.68	38.00	
Reichert	2,474.00		2,972.04			
Santos	237.00					
Simolia	179.00		113.00	155.85	39.03	
Thunell	340.00					
Thai	520.00		753.00			
Wachowiak	330.00		339.00	151.22	72.39	

Resolution 13-050

RESOLUTION TO REVISE THE TRAVEL AND EXPENSE REIMBURSEMENT
POLICY OF THE MEMBERS AND EMPLOYEES
OF THE ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY

WHEREAS, there exists a need to revise the Travel and Expense Reimbursement Policy for the members of the Rockaway Valley Regional Sewerage Authority ("RVRSA") to expand upon the list of conferences that a member may attend on official RVRSA business.

NOW, THEREFORE, BE IT RESOLVED by the Rockaway Valley Regional Sewerage Authority as follows:

1. The RVRSA Board Member Travel and Expense Reimbursement Policy, Section I, entitled "Conference Registration," is hereby amended to read as follows:

I. Conference Registration

Frequency: One (1) conference per year per board member. Prior written approval issued by the Chairman and Executive Director is required.

Cost of registration for any conference or seminar shall be paid in advance by the RVRSA. In the event that reservation on a flight cannot be secured at lower fair, the differential of lower fare to the business/first class shall not be reimbursable.

2. For the purpose of this Resolution, the term "member" shall include those persons appointed by the governing bodies of the constituent municipalities pursuant to N.J.S.A. 40:14A-4.

3. The policy set forth shall become effective immediately.

I hereby certify that this Resolution was adopted at a meeting of the Rockaway Valley Regional Sewerage Authority held on May 09, 2013

On motion of Hector Schorno

Second by Joseph Lowell

{112590.DOC.1}

Q#14
P.2

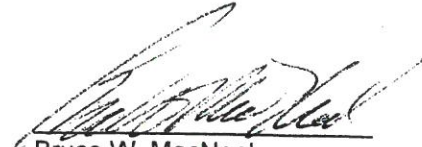
And a Roll Call Vote as Follows:

Yeas: (7) Cegelka, Corbett, Guadagno, Hercek, Lowell, Secco and Schorno

Nays: (0) None

Abstain: (0) None

Absent: (3) MacNeal, Schaefer, Vincitore



Bruce W. MacNeal
Board Secretary

{112590.DOC.1}

999999-999 2953.1

Q#14
P.3

**RVRSA Board Members
Conference Travel and Expense Reimbursement Policy**

RVRSA Board Members are authorized to travel on official RVRSA's board business. Members traveling on RVRSA board business are encouraged to exercise the same care in incurring expenses that they would if traveling on personnel business at their own expense. Excessive and unnecessary travel and other expenses shall not be approved or reimbursed. Travel routes should be planned so that minimum amount of travel and expense are involved. Board members shall be responsible for making their own travel arrangements. Expenditures for official Board travel are reimbursable if incurred in accordance with these guidelines.

I. Conference Registration

Frequency: One conference per year per board member; with prior approval of Chairman and Executive Director.

Cost of registration for any conference or seminar in the above list shall be paid in advance by the RVRSA

II. Transportation

A. Air Travel

The RVRSA shall reimburse participants for the full cost of travel by air (if appropriate). The maximum amount of reimbursable air travel shall be the coach fare for travel commencing the day before the conference begins and ending on the day after the conference ends. The reference to such days shall be for the sole purpose of determining the amount of reimbursement and shall not restrict members to travel only on those days.

B. Car Travel

The RVRSA shall reimburse participants for the actual costs of all tolls and parking expenses which shall not exceed the coach airfare. In addition, the RVRSA shall reimburse members at the prevailing mile rate of reimbursement per mile for each mile traveled, updated on a yearly basis.

C. Car Rental costs, if less than mileage cost, may be submitted in lieu of costs as described above in item B and are also reimbursable.

III. Lodging

The RVRSA shall reimburse Board members for the cost of lodging at conference rates. The maximum amount that is reimbursable for hotel accommodations shall be at conference rates, for a maximum stay not to exceed

the full length of the conference. Expenses for laundry, valet, entertainment, room service, and other charges of a similar nature are considered personal charges and shall not be reimbursed.

IV. Meals

The RVRSA shall reimburse Board members for all meals incurred during the course of travel and while attending the conference. Meal reimbursement is subject to a maximum rate per diem. Maximum per diem is \$75.00.

V. Related Expenses

The RVRSA shall reimburse Board members for necessary incidental expenses incurred during the course of travel. These shall include airport shuttle services to and from airports, shuttle services to and from hotels to airports, portage, and parking expenses (if appropriate). The RVRSA will reimburse taxi expenses for transportation to and from hotels and airports and for transportation to and from conference events only in cases where shuttle services are unavailable. All other taxi expenses are considered personal charges and shall not be reimbursed.

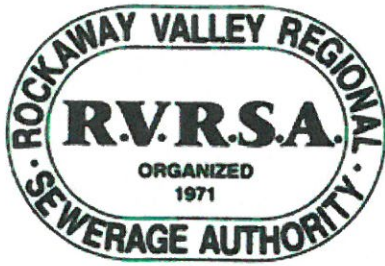
VI. Reimbursement

The RVRSA will attempt to provide persons authorized to travel on RVRSA business with sufficient funds to cover expected expenses. All persons authorized to travel shall submit all original receipts and other evidence of allowable expenses on the RVRSA's expense form. The RVRSA shall approve all expenses in accordance with the RVRSA's guidelines.

VII. This policy shall become effective as of June 22, 2006, 1st revision May 09, 2013 to remove the four conferences they may attend and leave it to the board members choice of conference.

Revised 5/09/13

Q#14
P.5



CONFERENCE AND SEMINAR
REQUEST FORM

DATE: _____

EMPLOYEE NAME: _____

CONFERENCE/SEMINAR: _____

CONFERENCE/SEMINAR DATE(S): _____

COST SEMINAR/CONFERENCE: _____

COST OTHER (TRAVEL/HOTEL): _____

State reason below why attending this conference/seminar will be beneficial to the RVRSA.

Requesting Employee Signature: _____

Supervisor Signature: _____

Approved _____ Denied _____

Executive Director Signature: _____

Approved _____ Denied _____

In accordance with the RVRSA Policy Manual, Section 4- page 4, "Requests must be in writing and include schedule, registration information and estimated costs". See Policy Manual for complete policy.

**AUTHORITY SCHEDULE OF COMMISSIONERS, OFFICERS, KEY EMPLOYEES,
HIGHEST COMPENSATED EMPLOYEES AND INDEPENDENT CONTRACTORS
ROCKAWAY VALLEY REGIONAL SEWERAGE
AUTHORITY**

FISCAL YEAR: FROM: JAN. 1, 2019 TO: DEC. 31, 2019

Complete the attached table for all persons required to be listed per #1-4 below.

- 1) List all of the Authority's current commissioners and officers and amount of compensation from the Authority and any other public entities as defined below. Enter zero if no compensation was paid.
- 2) List all of the Authority's key employees and highest compensated employees other than a commissioner or officer as defined below and amount of compensation from the Authority and any other public entities.
- 3) List all of the Authority's former officers, key employees and highest compensated employees who received more than \$100,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.
- 4) List all of the Authority's former commissioners who received more than \$10,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.

Commissioner: A member of the governing body of the authority with voting rights. Include alternates for purposes of this schedule.

Officer: A person elected or appointed to manage the authority's daily operations at any time during the year, such as the chairperson, vice-chairperson, secretary, or treasurer. For the purposes of this schedule, treat the authority's top management official and top financial official as officers. A member of the governing body may be both a commissioner and an officer for the purposes of this schedule.

Key employee: An employee or independent contractor of the authority (other than a commissioner or officer) who meets both of the following criteria:

- a) The individual received reportable compensation from the authority and other public entities in excess of \$150,000 for the most recent fiscal year completed; and
- b) The individual has responsibilities or influence over the authority as a whole or has power to control or determine 10% or more of the authority's capital expenditures or operating budget.

Highest compensated employee: One of the five highest compensated employees or independent contractors of the authority other than current commissioners, officers, or key employees whose aggregate reportable compensation from the authority and other public entities is greater than \$100,000 for the most recent fiscal year completed.

Compensation: All forms of cash and non-cash payments or benefits provided in exchange for services, including salaries and wages, bonuses, severance payments, deferred payments, retirement benefits, fringe benefits, and other financial arrangements or transactions such as personal vehicles, meals, housing, personal and family education benefits, below-market loans, payment of personal or family travel, entertainment, and personal use of the Authority's property. Compensation includes payments and other benefits provided to both employees and independent contractors in exchange for services.

Reportable compensation: (Use the Most Recent W-2 available 2017 or 2018. The aggregate compensation that is reported (or is required to be reported) on Form W-2, box 1 or 5, whichever amount is greater, and/or Form 1099-MISC, box 7, for the most recent calendar year ended 60 days before the start of the proposed budget year. For example, for fiscal years ending December 31, 2019, the most recent W-2 and 1099 should be used 2018 or 2017 (60 days prior to start of budget year is November 1, 2018, with 2017 being the most recent calendar year ended), and for fiscal years ending June 30, 2019, the calendar year 2018 W-2 and 1099 should be used (60 days prior to start of budget year is May 1, 2018, with 2018 being the most recent calendar year ended).

Other Public Entity: Any municipality, county, local authority, fire district, or other government unit, regardless of whether it is related in any way to the Authority either by function or by physical location.

Authority Schedule of Commissioners, Officers, Key Employees, Highest Compensated Employees and Independent Contractors (Continued)

Change to Name of YOUR Authority (See Input Data Tab)
 For the Period January 1, 2019 to December 31, 2019

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T
			Position (Can Check more than 1 Column for each person)																
			Reportable Compensation from Authority (W-2/1099)																
Name	Title	Average Hours per Week Dedicated to Position	Commissioner	Officer	Key Employee	Highest Compensated Employee	Former	Base Salary/Stipend	Bonus	Other (auto allowance, expense account, payment in lieu of health benefits, etc.)	Estimated amount of other compensation from the Authority (health benefits, pension, etc.)	Total Compensation from Authority	Names of Other Public Entities where Individual is an Employee or Member of the Governing Body (1) See note below	Average Hours per Week Dedicated to Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (W-2/1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, payment in lieu of health benefits, etc.)	Total Compensation All Public Entities		
1 Hector R. Schorno	Board Chairman		X										- None						
2 John Cegelka	Board Vice Chairman		X										0 None						
3 Michael Guadagno	Board Secretary		X										0 Twp Randolph						
4													0 Morris County	6,000			6,000		
5 Joseph Lowell	Board Treasurer		X										0 Twp Boonton	13,975			13,975		
6 Thomas Andes	Commissioner		X										0 Twp Denville	8,400			8,400		
7 Glenn Corbett	Commissioner		X										0 Borough Wharton	119,800			119,800		
8 Anthony Recchia	Commissioner		X										0	10,600			10,600		
9 Joseph Rosi	Commissioner		X										0 Borough Rockaway	3,550			3,550		
10 Aurelio Vincitore	Commissioner		X										0 Hudson County	84,413			84,413		
11 William Isselin	Commissioner		X										0 Town of Dover	119,162			119,162		
13 JoAnn Mondsini	Executive Director	40	X					132,129		12,215		144,343	0 Borough Victory Gardens	2,100			2,100		
14 BK Sandy Thai	CFO, QPA, Assist Board Treasurer	40	X					105,648		23,265		128,912					144,343		
15																	128,912		
Total:								\$ 237,776	\$ -	\$ 35,479	\$ -	\$ 273,256		\$ 368,000	\$ -	\$ 641,256			

(1) Insert "None" in this column for each individual that does not hold a position with another Public Entity

Change to Name of YOUR Authority (See Input Data Tab)

For the Period January 1, 2019 to December 31, 2019

A B C D E F G H I J K L M N O P Q R S T

Position (Can Check more than 1 Column for each person)

Reportable Compensation from Authority (W-2/1099)

Name	Title	Average Hours per Week Dedicated to Position	Commissioner	Officer	Key Employee	Highest Compensated Employee	Former	Other (auto allowance, expense account, payment in lieu of health benefits, etc.)		Estimated amount of other compensation from the Authority (health benefits, pension, etc.)	Total Compensation from Authority	Names of Other Public Entities where Individual is an Employee or Member of the Governing Body (1) See note below	Positions held at Other Public Entities Listed in Column O	Average Hours per Week Dedicated to Positions at Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (W-2/1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, payment in lieu of health benefits, etc.)	Total Compensation All Public Entities
								Bonus	Base Salary/Stipend								
1 Robert Bocchino	Manager of Engineer	40			X			\$ 31,155	\$ 37,958		69,114	TWP Berkeley Heights			\$ 67,897	\$	137,011
2 Mario Bonaccorso	Plant Manager	40			X			\$ 31,946	\$ 92,566		124,512	None			\$		124,512
3 Eric Reichert	Asst Plant Manager	40			X			\$ 1,339	\$ 103,815		105,154	None					105,154
4 Scott Trimmer	Trunkline Manager	40			X			\$ 32,105	\$ 78,706		110,811	None					110,811
5 Maria Brush	Safety Coordinator					X		\$ 22,179	\$ 85,037		107,216	None					107,216
6								0	0		0						0
7								0	0		0						0
8								0	0		0						0
9								0	0		0						0
10								0	0		0						0
11								0	0		0						0
12								0	0		0						0
13								0	0		0						0
14								0	0		0						0
15								0	0		0						0
Total:								\$ 398,083	\$ 118,725	\$ -	\$ 516,807		\$ 67,897	\$ -	\$ 584,704		

(1) Insert "None" in this column for each individual that does not hold a position with another Public Entity

Schedule of Health Benefits - Detailed Cost Analysis

Change to Name of YOUR Authority (See Input Data Tab) December 31, 2019
 For the Period January 1, 2019 to

	Annual Cost		# of Covered Members	# of Covered Members (Medical & Rx) Current Year	Total Cost Estimate Proposed Budget	Annual Cost per Employee Proposed Budget	Annual Cost per Employee Current Year	Total Prior Year Year Cost (Decrease)	\$ Increase (Decrease)	% Increase (Decrease)
	# of Covered Members (Medical & Rx) Proposed Budget	Estimate Proposed Budget								
Active Employees - Health Benefits - Annual Cost										
Single Coverage	4	\$ 11,784	3	3	\$ 47,136	\$ 11,784	11,223	\$ 33,669	\$ 13,467	40.0%
Parent & Child	4	20,993	5	5	83,972	20,993	20,232	101,160	(17,188)	-17.0%
Employee & Spouse (or Partner)	9	23,634	9	9	212,702	23,634	22,508	202,573	10,129	5.0%
Family	15	32,655	11	11	489,821	32,655	31,022	341,247	148,574	43.5%
Employee Cost Sharing Contribution (enter as negative -)					(138,000)			(120,000)	(18,000)	15.0%
Subtotal	32	695,631	28	28	695,631	695,631	695,631	558,649	136,982	24.5%
Commissioners - Health Benefits - Annual Cost										
Single Coverage	0									#DIV/0!
Parent & Child	0									#DIV/0!
Employee & Spouse (or Partner)	0									#DIV/0!
Family	0									#DIV/0!
Employee Cost Sharing Contribution (enter as negative -)										#DIV/0!
Subtotal	0	-	0	0	-	-	-	-	-	#DIV/0!
Retirees - Health Benefits - Annual Cost										
Single Coverage	1	7,113	1	1	7,113	7,113	6,774	6,774	339	5.0%
Parent & Child	1	22,246	0	0	22,246	22,246	-	-	22,246	#DIV/0!
Employee & Spouse (or Partner)	1	14,038	1	1	14,038	14,038	13,369	13,369	669	5.0%
Family	2	26,959	1	1	53,918	26,959	25,675	25,675	28,243	110.0%
Employee Cost Sharing Contribution (enter as negative -)										#DIV/0!
Subtotal	5	97,315	3	3	97,315	97,315	97,315	45,819	51,496	112.4%
GRAND TOTAL	37	\$ 792,946	31	31	\$ 792,946	\$ 792,946	\$ 792,946	\$ 604,468	\$ 188,478	31.2%

Y	Yes or No
Y	Yes or No

Is medical coverage provided by the SHBP (Yes or No)? (Place Answer in Box)
 Is prescription drug coverage provided by the SHBP (Yes or No)? (Place Answer in Box)

Note: Remember to Enter an amount in rows for Employee Cost Sharing

2019 AUTHORITY BUDGET

Financial Schedules Section

SUMMARY

Rockaway Valley Regional Sewerage Authority
 For the Period January 1, 2019 to December 31, 2019

	FY 2019 Proposed Budget					FY 2018 Adopted Budget		% Increase (Decrease) Proposed vs. Adopted
	Sewer	N/A	N/A	N/A	N/A	Total All Operations	Total All Operations	
REVENUES								
Total Operating Revenues	\$ 9,845,720	\$ -	\$ -	\$ -	\$ -	\$ 9,845,720	\$ 9,578,651	2.8%
Total Non-Operating Revenues	15,500	-	-	-	15,500	-	-	0.0%
Total Anticipated Revenues	9,861,220	-	-	-	9,861,220	9,594,151	267,069	2.8%
APPROPRIATIONS								
Total Administration	2,838,519	-	-	-	2,838,519	3,104,822	(266,303)	-8.6%
Total Cost of Providing Services	5,396,401	-	-	-	5,396,401	5,195,590	200,811	3.9%
Total Principal Payments on Debt Service in Lieu of Depreciation	1,031,900	-	-	-	1,031,900	1,022,100	9,800	1.0%
Total Operating Appropriations	9,266,820	-	-	-	9,266,820	9,322,512	(55,692)	-0.6%
Total Interest Payments on Debt	244,400	-	-	-	244,400	258,600	(14,200)	-5.5%
Total Other Non-Operating Appropriations	450,000	-	-	-	450,000	450,000	-	0.0%
Total Non-Operating Appropriations	694,400	-	-	-	694,400	708,600	(14,200)	-2.0%
Accumulated Deficit	-	-	-	-	-	-	-	#DIV/0!
Total Appropriations and Accumulated Deficit	9,961,220	-	-	-	9,961,220	10,031,112	(69,892)	-0.7%
Less: Total Unrestricted Net Position Utilized	100,000	-	-	-	100,000	436,961	(336,961)	-77.1%
Net Total Appropriations	9,861,220	-	-	-	9,861,220	9,594,151	267,069	2.8%
ANTICIPATED SURPLUS (DEFICIT)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	#DIV/0!

Revenue Schedule

Rockaway Valley Regional Sewerage Authority
For the Period January 1, 2019 to December 31, 2019

	FY 2019 Proposed Budget						FY 2018 Adopted Budget	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted	
	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations	Total All Operations	All Operations	
OPERATING REVENUES										
<i>Service Charges</i>										
Residential							\$ -	\$ -	\$ -	#DIV/0!
Business/Commercial							-	-	-	#DIV/0!
Industrial							-	-	-	#DIV/0!
Intergovernmental	9,437,220						9,437,220	9,115,151	322,069	3.5%
Other							-	-	-	#DIV/0!
Total Service Charges	9,437,220						9,437,220	9,115,151	322,069	3.5%
<i>Connection Fees</i>										
Residential							-	-	-	#DIV/0!
Business/Commercial							-	-	-	#DIV/0!
Industrial							-	-	-	#DIV/0!
Intergovernmental							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Connection Fees							-	-	-	#DIV/0!
<i>Parking Fees</i>										
Meters							-	-	-	#DIV/0!
Permits							-	-	-	#DIV/0!
Fines/Penalties							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Parking Fees							-	-	-	#DIV/0!
<i>Other Operating Revenues (List)</i>										
User Charges	170,000						170,000	160,000	10,000	6.3%
Industrial Application Fees	1,500						1,500	4,500	(3,000)	-66.7%
Application & Inspection Permits	5,000						5,000	5,000	-	0.0%
IPP Surcharges	180,000						180,000	250,000	(70,000)	-28.0%
Industrial Annual Fees	30,000						30,000	26,000	4,000	15.4%
Unused Capacity Charge	22,000						22,000	18,000	4,000	22.2%
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
Total Other Revenue	408,500						408,500	463,500	(55,000)	-11.9%
Total Operating Revenues	9,845,720						9,845,720	9,578,651	267,069	2.8%
NON-OPERATING REVENUES										
<i>Other Non-Operating Revenues (List)</i>										
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
N/A							-	-	-	#DIV/0!
Total Other Non-Operating Revenue							-	-	-	#DIV/0!
<i>Interest on Investments & Deposits (List)</i>										
Interest Earned	15,500						15,500	15,500	-	0.0%
Penalties							-	-	-	#DIV/0!
Other							-	-	-	#DIV/0!
Total Interest	15,500						15,500	15,500	-	0.0%
Total Non-Operating Revenues	15,500						15,500	15,500	-	0.0%
TOTAL ANTICIPATED REVENUES	\$ 9,861,220	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 9,861,220	\$ 9,594,151	\$ 267,069	2.8%

Prior Year Adopted Revenue Schedule

Rockaway Valley Regional Sewerage Authority

FY 2018 Adopted Budget

	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations
OPERATING REVENUES							
<i>Service Charges</i>							
Residential							\$ -
Business/Commercial							-
Industrial							-
Intergovernmental	9,115,151						9,115,151
Other							-
Total Service Charges	9,115,151	-	-	-	-	-	9,115,151
<i>Connection Fees</i>							
Residential							-
Business/Commercial							-
Industrial							-
Intergovernmental							-
Other							-
Total Connection Fees	-	-	-	-	-	-	-
<i>Parking Fees</i>							
Meters							-
Permits							-
Fines/Penalties							-
Other							-
Total Parking Fees	-	-	-	-	-	-	-
<i>Other Operating Revenues (List)</i>							
User Charges	160,000						160,000
Industrial Application Fees	4,500						4,500
Application & Inspection Permits	5,000						5,000
IPP Surcharges	250,000						250,000
Industrial Annual Fees	26,000						26,000
Unused Capacity Charge	18,000						18,000
N/A							-
N/A							-
N/A							-
N/A							-
N/A							-
Total Other Revenue	463,500	-	-	-	-	-	463,500
Total Operating Revenues	9,578,651	-	-	-	-	-	9,578,651
NON-OPERATING REVENUES							
<i>Other Non-Operating Revenues (List)</i>							
N/A							-
N/A							-
N/A							-
N/A							-
N/A							-
N/A							-
Total Other Non-Operating Revenues	-	-	-	-	-	-	-
<i>Interest on Investments & Deposits</i>							
Interest Earned	15,500						15,500
Penalties							-
Other							-
Total Interest	15,500	-	-	-	-	-	15,500
Total Non-Operating Revenues	15,500	-	-	-	-	-	15,500
TOTAL ANTICIPATED REVENUES	\$ 9,594,151	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 9,594,151

Appropriations Schedule

Rockaway Valley Regional Sewerage Authority
For the Period January 1, 2019 to December 31, 2019

	FY 2019 Proposed Budget						Total All Operations	FY 2018 Adopted Budget	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted
	Sewer	N/A	N/A	N/A	N/A	N/A		Total All Operations	Total All Operations	All Operations
OPERATING APPROPRIATIONS										
<i>Administration - Personnel</i>										
Salary & Wages	\$ 989,386						\$ 989,386	\$ 982,721	\$ 6,665	0.7%
Fringe Benefits	495,418					495,418	454,220	41,198	9.1%	
Total Administration - Personnel	1,484,804	-	-	-	-	1,484,804	1,436,941	47,863	3.3%	
<i>Administration - Other (List)</i>										
See attached F-4a	1,353,715					1,353,715	1,667,881	(314,166)	-18.8%	
N/A						-	-	-	#DIV/0!	
N/A						-	-	-	#DIV/0!	
N/A						-	-	-	#DIV/0!	
Miscellaneous Administration*						-	-	-	#DIV/0!	
Total Administration - Other	1,353,715	-	-	-	-	1,353,715	1,667,881	(314,166)	-18.8%	
Total Administration	2,838,519	-	-	-	-	2,838,519	3,104,822	(266,303)	-8.6%	
<i>Cost of Providing Services - Personnel</i>										
Salary & Wages	1,962,490					1,962,490	1,921,150	41,340	2.2%	
Fringe Benefits	982,682					982,682	887,980	94,702	10.7%	
Total COPS - Personnel	2,945,172	-	-	-	-	2,945,172	2,809,130	136,042	4.8%	
<i>Cost of Providing Services - Other (List)</i>										
See attached F-4a	2,451,229					2,451,229	2,386,460	64,769	2.7%	
N/A						-	-	-	#DIV/0!	
N/A						-	-	-	#DIV/0!	
N/A						-	-	-	#DIV/0!	
Miscellaneous COPS*						-	-	-	#DIV/0!	
Total COPS - Other	2,451,229	-	-	-	-	2,451,229	2,386,460	64,769	2.7%	
Total Cost of Providing Services	5,396,401	-	-	-	-	5,396,401	5,195,590	200,811	3.9%	
<i>Total Principal Payments on Debt Service in Lieu of Depreciation</i>										
	1,031,900	-	-	-	-	1,031,900	1,022,100	9,800	1.0%	
Total Operating Appropriations	9,266,820	-	-	-	-	9,266,820	9,322,512	(55,692)	-0.6%	
NON-OPERATING APPROPRIATIONS										
Total Interest Payments on Debt	244,400	-	-	-	-	244,400	258,600	(14,200)	-5.5%	
Operations & Maintenance Reserve						-	-	-	#DIV/0!	
Renewal & Replacement Reserve	200,000					200,000	200,000	-	0.0%	
Municipality/County Appropriation						-	-	-	#DIV/0!	
Other Reserves	250,000					250,000	250,000	-	0.0%	
Total Non-Operating Appropriations	694,400	-	-	-	-	694,400	708,600	(14,200)	-2.0%	
TOTAL APPROPRIATIONS	9,961,220	-	-	-	-	9,961,220	10,031,112	(69,892)	-0.7%	
ACCUMULATED DEFICIT						-	-	-	#DIV/0!	
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	9,961,220	-	-	-	-	9,961,220	10,031,112	(69,892)	-0.7%	
UNRESTRICTED NET POSITION UTILIZED										
Municipality/County Appropriation						-	-	-	#DIV/0!	
Other	100,000					100,000	436,961	(336,961)	-77.1%	
Total Unrestricted Net Position Utilized	100,000	-	-	-	-	100,000	436,961	(336,961)	-77.1%	
TOTAL NET APPROPRIATIONS	\$ 9,861,220	\$ -	\$ -	\$ -	\$ -	\$ 9,861,220	\$ 9,594,151	\$ 267,069	2.8%	

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 463,341.00 \$ - \$ - \$ - \$ - \$ - \$ - \$ 463,341.00

2019 Appropriations Schedule

Rockaway Valley Regional Sewerage Authority

For the Period January 1, 2019 to December 31, 2019

	<i>Proposed Budget</i>						Total All Operations
	Sewer	N/A	N/A	N/A	N/A	N/A	
OPERATING APPROPRIATIONS							
<i>Administration - Other</i>							
Administrative Department:							
Professional Services	683,000						\$ 683,000
Seminar/ Conference/ Travel	20,000						20,000
Dues and Membership	8,350						8,350
Miscellaneous	3,000						3,000
Uniform	500						500
JIF Insurance	213,000						213,000
Trustee Fees	53,730						53,730
Administrative Department- Safety							
Professional Services	4,080						4,080
Seminar/ Conference/ Travel	1,500						1,500
Dues and Membership	400						400
Miscellaneous	700						700
Uniform	500						500
Finance Department							
Professional Services	53,000						53,000
Seminar/ Conference/ Travel	5,000						5,000
Dues and Membership	500						500
Miscellaneous	1,500						1,500
Uniform	500						500
Engineering Department							
Professional Services	250,000						250,000
Seminar/ Conference/ Travel	4,000						4,000
Dues and Membership	1,000						1,000
Miscellaneous	500						500
Uniform	1,000						1,000
Engineering Department- IPP							
Seminar/ Conference/ Travel	1,750						1,750
Dues and Membership	450						450
Uniform	650						650
Engineering Department- Electric							
Seminar/ Conference/ Travel	1,200						1,200
Dues and Membership	150						150
Uniform	655						655
Laboratory Department							
Seminar/ Conference/ Travel	2,000						2,000
Dues and Membership	350						350
Uniform	500						500
Operation Department							
Seminar/ Conference/ Travel	10,000						10,000
Dues and Membership	2,100						2,100
Uniform	25,000						25,000
Trunkline Department							
Seminar/ Conference/ Travel	2,500						2,500
Dues and Membership	150						150
Uniform	500						500
Total Administration - Other	1,353,715	-	-	-	-	-	1,353,715

2019 Appropriations Schedule

Rockaway Valley Regional Sewerage Authority

For the Period January 1, 2019 to December 31, 2019

	<i>Proposed Budget</i>						Total All Operations
	Sewer	N/A	N/A	N/A	N/A	N/A	
OPERATING APPROPRIATIONS							
<i>Cost of Providing Services - Other</i>							
Administrative Department:							
Material & Supplies	45,800						45,800
Maintenance Equipment	3,750						3,750
Security	4,000						4,000
Utilities	440,900						440,900
NJPDES Permits	69,130						69,130
Administrative Department- Safety							
Material & Supplies	3,000						3,000
Maintenance Equipment	3,500						3,500
Finance Department							
Material & Supplies	1,500						1,500
Maintenance Equipment	-						-
Engineering Department							
Material & Supplies	1,000						1,000
Engineering Department- IPP							
Professional Services	63,600						63,600
Material & Supplies	1,800						1,800
Maintenance Equipment							-
Engineering Department- Electric							
Professional Services							-
Material & Supplies	28,550						28,550
Maintenance Equipment	97,000						97,000
Miscellaneous							-
Laboratory Department							
Professional Services	24,000						24,000
Material & Supplies	31,000						31,000
Maintenance Equipment	11,200						11,200
Miscellaneous	2,000						2,000
Operation Department							
Professional Services							-
Material & Supplies	1,105,000						1,105,000
Maintenance Equipment	222,999						222,999
Miscellaneous	2,000						2,000
Trunkline Department							
Professional Services	91,000						91,000
Material & Supplies	122,500						122,500
Maintenance Equipment	76,000						76,000
Total COPS - Other	2,451,229	-	-	-	-	-	2,451,229

Prior Year Adopted Appropriations Schedule

Rockaway Valley Regional Sewerage Authority

	<i>FY 2018 Adopted Budget</i>						Total All
	Sewer	N/A	N/A	N/A	N/A	N/A	Operations
OPERATING APPROPRIATIONS							
<i>Administration - Personnel</i>							
Salary & Wages	\$ 982,721						\$ 982,721
Fringe Benefits	454,220						454,220
Total Administration - Personnel	1,436,941	-	-	-	-	-	1,436,941
<i>Administration - Other (List)</i>							
See attached F-5a	1,667,881						1,667,881
N/A							-
N/A							-
N/A							-
Miscellaneous Administration*							-
Total Administration - Other	1,667,881	-	-	-	-	-	1,667,881
Total Administration	3,104,822	-	-	-	-	-	3,104,822
<i>Cost of Providing Services - Personnel</i>							
Salary & Wages	1,921,150						1,921,150
Fringe Benefits	887,980						887,980
Total COPS - Personnel	2,809,130	-	-	-	-	-	2,809,130
<i>Cost of Providing Services - Other (List)</i>							
See attached F-5a	2,386,460						2,386,460
N/A							-
N/A							-
N/A							-
Miscellaneous COPS*							-
Total COPS - Other	2,386,460	-	-	-	-	-	2,386,460
Total Cost of Providing Services	5,195,590	-	-	-	-	-	5,195,590
Total Principal Payments on Debt Service in Lieu of Depreciation	1,022,100	-	-	-	-	-	1,022,100
Total Operating Appropriations	9,322,512	-	-	-	-	-	9,322,512
NON-OPERATING APPROPRIATIONS							
Total Interest Payments on Debt	258,600	-	-	-	-	-	258,600
Operations & Maintenance Reserve							-
Renewal & Replacement Reserve	200,000						200,000
Municipality/County Appropriation							-
Other Reserves	250,000						250,000
Total Non-Operating Appropriations	708,600	-	-	-	-	-	708,600
TOTAL APPROPRIATIONS	10,031,112	-	-	-	-	-	10,031,112
ACCUMULATED DEFICIT							-
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	10,031,112	-	-	-	-	-	10,031,112
UNRESTRICTED NET POSITION UTILIZED							
Municipality/County Appropriation							-
Other	436,961						436,961
Total Unrestricted Net Position Utilized	436,961	-	-	-	-	-	436,961
TOTAL NET APPROPRIATIONS	\$ 9,594,151	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 9,594,151

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 466,125.60 \$ - \$ - \$ - \$ - \$ - \$ 466,125.60

2018 Appropriations Schedule

Rockaway Valley Regional Sewerage Authority

FY2018 Adopted Budget

	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations
OPERATING APPROPRIATIONS							
<i>Administration - Other</i>							
Administrative Department:							
Professional Services	963,000						\$ 963,000
Seminar/ Conference/ Travel	20,000						20,000
Dues and Membership	8,350						8,350
Miscellaneous	2,000						2,000
Uniform	500						500
JIF Insurance	208,000						208,000
Trustee Fees	45,550						45,550
Administrative Department- Safety							
Professional Services	4,080						4,080
Seminar/ Conference/ Travel	1,500						1,500
Dues and Membership	400						400
Miscellaneous	700						700
Uniform	500						500
Finance Department							
Professional Services	62,000						62,000
Seminar/ Conference/ Travel	6,700						6,700
Dues and Membership	400						400
Miscellaneous	1,500						1,500
Uniform	500						500
Engineering Department							
Professional Services	258,100						258,100
Seminar/ Conference/ Travel	3,000						3,000
Dues and Membership	425						425
Miscellaneous	500						500
Uniform	500						500
Engineering Department- IPP							
Seminar/ Conference/ Travel	3,000						3,000
Dues and Membership	600						600
Uniform	1,050						1,050
Engineering Department- Electric							
Seminar/ Conference/ Travel	500						500
Dues and Membership	171						171
Uniform	655						655
Laboratory Department							
Seminar/ Conference/ Travel	2,000						2,000
Dues and Membership	350						350
Uniform	500						500
Operation Department							
Seminar/ Conference/ Travel	10,000						10,000
Dues and Membership	2,100						2,100
Uniform	55,600						55,600
Trunkline Department							
Seminar/ Conference/ Travel	2,500						2,500
Dues and Membership	150						150
Uniform	500						500
Total Administration - Other	1,667,881	-	-	-	-	-	1,667,881

2018 Appropriations Schedule

Rockaway Valley Regional Sewerage Authority

	<i>FY2018 Adopted Budget</i>						Total All Operations
	Sewer	N/A	N/A	N/A	N/A	N/A	
OPERATING APPROPRIATIONS							
<i>Cost of Providing Services - Other</i>							
Administrative Department:							
Material & Supplies	45,800						45,800
Maintenance Equipment	3,750						3,750
Security	6,000						6,000
Utilities	422,280						422,280
NJPDES Permits	69,130						69,130
Administrative Department- Safety							-
Material & Supplies	3,000						3,000
Maintenance Equipment	3,500						3,500
Finance Department							-
Material & Supplies	1,500						1,500
Maintenance Equipment	-						-
Engineering Department							-
Material & Supplies	500						500
Engineering Department- IPP							-
Professional Services	63,000						63,000
Material & Supplies	2,000						2,000
Maintenance Equipment							-
Engineering Department- Electric							-
Professional Services	-						-
Material & Supplies	25,000						25,000
Maintenance Equipment	89,500						89,500
Miscellaneous	-						-
Laboratory Department							-
Professional Services	22,000						22,000
Material & Supplies	29,000						29,000
Maintenance Equipment	10,000						10,000
Miscellaneous	1,500						1,500
Operation Department							-
Professional Services	-						-
Material & Supplies	1,099,000						1,099,000
Maintenance Equipment	205,000						205,000
Miscellaneous	1,500						1,500
Trunkline Department							-
Professional Services	90,000						90,000
Material & Supplies	117,500						117,500
Maintenance Equipment	76,000						76,000
Total COPS - Other	2,386,460	-	-	-	-	-	2,386,460

Debt Service Schedule - Principal

Rockaway Valley Regional Sewerage Authority

	<i>Fiscal Year Ending in</i>							Total Principal Outstanding	
	Adopted Budget Year 2018	Proposed Budget Year 2019	2020	2021	2022	2023	2024		Thereafter
Sewer									
See attached F-6a	\$ 1,022,100	\$ 1,031,900	\$ 16,344,078	\$ 881,963	\$ 598,019	\$ 552,280	\$ 574,280	\$ 2,905,109	\$ 22,887,629
N/A									
N/A									
N/A									
Total Principal	1,022,100	1,031,900	16,344,078	881,963	598,019	552,280	574,280	2,905,109	22,887,629
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
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N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
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N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Principal	-								

5 Year Debt Service Schedule - Principal

Rockaway Valley Regional Sewerage Authority

Fiscal Year Beginning in

	Fiscal Year Beginning in						Total Principal Outstanding	
	Current Year (2018)	2019	2020	2021	2022	2023		2024
Sewer								
NJEIT 2007 Series B	\$ 361,746	\$ 359,540	\$ 362,439	\$ 361,683	\$ 60,739	\$ -	\$ -	\$ -
NJEIT 2010 Series A	107,924	107,924	107,924	107,924	107,924	107,924	107,924	539,616
NJEIT 2010 Series B	35,000	33,000	33,000	37,000	38,000	38,000	43,000	222,000
NJEIT 2012 Series A	65,000	70,000	70,000	75,000	80,000	85,000	90,000	715,000
NJEIT 2012 Series B	87,356	87,356	87,356	87,356	87,356	87,356	87,356	611,493
NJEIT 2015 Series A	185,000	192,000	204,000	213,000	224,000	234,000	246,000	817,000
Temporary Financing*	180,074	182,080	15,479,359	-	-	-	-	-
Total Principal	1,022,100	1,031,900	16,344,078	881,963	598,019	552,280	574,280	2,905,109
								22,887,629

* The NJEIT has issued temporary financing for construction costs related to the Jersey City Trunkline Rehabilitation project. Although repayment schedules have not been issued for this financing, the Authority has anticipated a \$182,080 principal repayment in the 2019 budget. The Authority anticipates permanent financing of this debt in the 2019 fiscal year.

Debt Service Schedule - Interest
Rockaway Valley Regional Sewerage Authority

If Authority has no debt X this box

	Adopted Budget Year 2018	Proposed Budget Year 2019	Fiscal Year Ending in					Thereafter	Total Interest Payments Outstanding
			2020	2021	2022	2023	2024		
<i>Sewer</i>									
NJEIT 2010 Series A	\$ 19,546	\$ 18,525	\$ 17,125	\$ 15,375	\$ 14,175	\$ 12,575	\$ 10,975	\$ 28,350	\$ 117,100
NJEIT 2012 Series A	51,172	49,276	45,776	42,276	38,526	34,526	30,276	98,152	338,808
NJEIT 2015 Series A	117,833	106,500	96,900	86,700	76,050	64,850	53,150	83,000	567,150
Temporary Financing (See note on F-6a)	70,049	70,099							70,099
Total Interest Payments	258,600	244,400	159,801	144,351	128,751	111,951	94,401	209,502	1,093,157
N/A									
N/A									
N/A									
N/A									
Total Interest Payments	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Interest Payments	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Interest Payments	-	-	-	-	-	-	-	-	-
N/A									
N/A									
N/A									
N/A									
Total Interest Payments	-	-	-	-	-	-	-	-	-
TOTAL INTEREST ALL OPERATIONS	\$ 258,600	\$ 244,400	\$ 159,801	\$ 144,351	\$ 128,751	\$ 111,951	\$ 94,401	\$ 209,502	\$ 1,093,157

Net Position Reconciliation

Rockaway Valley Regional Sewerage Authority

For the Period January 1, 2019 to December 31, 2019

FY 2019 Proposed Budget

	Sewer	N/A	N/A	N/A	N/A	N/A	Total All Operations
TOTAL NET POSITION BEGINNING OF LATEST AUDIT REPORT YEAR(1)	\$ 34,580,416						\$ 34,580,416
Less: Invested in Capital Assets, Net of Related Debt (1)	34,210,484						34,210,484
Less: Restricted for Debt Service Reserve (1)	142,026						-
Less: Other Restricted Net Position (1)	227,906						142,026
Total Unrestricted Net Position (1)							227,906
Less: Designated for Non-Operating Improvements & Repairs							-
Less: Designated for Rate Stabilization							-
Less: Other Designated by Resolution	3,871,286						3,871,286
Plus: Accrued Unfunded Pension Liability (1)	6,996,506						6,996,506
Plus: Accrued Unfunded Other Post-Employment Benefit Liability (1)							-
Plus: Estimated Income (Loss) on Current Year Operations (2)							-
Plus: Other Adjustments (attach schedule)							-
UNRESTRICTED NET POSITION AVAILABLE FOR USE IN PROPOSED BUDGET	3,353,126						3,353,126
Unrestricted Net Position Utilized to Balance Proposed Budget	100,000						100,000
Unrestricted Net Position Utilized in Proposed Capital Budget	1,607,000						1,607,000
Appropriation to Municipality/County (3)	-						-
Total Unrestricted Net Position Utilized in Proposed Budget	1,707,000						1,707,000
PROJECTED UNRESTRICTED UNDESIGNATED NET POSITION AT END OF YEAR							
Last issued Audit Report (4)	\$ 1,646,126	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,646,126

(1) Total of all operations for this line item must agree to audited financial statements.

(2) Include budgeted and unbudgeted use of unrestricted net position in the current year's operations.

(3) Amount may not exceed 5% of total operating appropriations. See calculation below.

Maximum Allowable Appropriation to Municipality/County \$ 463,341 \$ - \$ - \$ - \$ - \$ - \$ 463,341
 (4) If Authority is projecting a deficit for any operation at the end of the budget period, the Authority must attach a statement explaining its plan to reduce the deficit, including the timeline for elimination of the deficit, if not already detailed in the budget narrative section.

2019
ROCKAWAY
VALLEY
REGIONAL
SEWERAGE
AUTHORITY
CAPITAL
BUDGET/
PROGRAM

2019 CERTIFICATION OF AUTHORITY CAPITAL BUDGET/PROGRAM


ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY

FISCAL YEAR: FROM: JAN. 1, 2019 TO: DEC. 31, 2019

It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the Rockaway Valley Regional Sewerage Authority, on the 11TH day of OCTOBER, 2018.

OR

It is hereby certified that the governing body of the Rockaway Valley Regional Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s):

Officer's Signature:			
Name:	JOANN MONDSINI		
Title:	EXECUTIVE DIRECTOR		
Address:	RD 1, 99 GREENBANK ROAD BOONTON, NJ 07005		
Phone Number:	973-263-1555	Fax Number:	973-263-9068
E-mail address	<u>JMONDSINI@RVRSA.ORG</u>		

2019 CAPITAL BUDGET/PROGRAM MESSAGE

Rockaway Valley Regional Sewerage Authority

FISCAL YEAR: FROM: JAN. 1, 2019 TO: DEC. 31, 2019

1. Has each municipality or county affected by the actions of the authority participated in the development of the capital plan and reviewed or approved the plans or projects included within the Capital Budget/Program?

YES.

2. Has each capital project/project financing been developed from a specific capital improvement plan or report; does it include full lifecycle costs; and is it consistent with appropriate elements of Master Plans or other plans in the jurisdiction(s) served by the authority?

THE AUTHORITY EVALUATES ITS CAPITAL NEEDS ON AN ANNUAL BASIS.

3. Has a long-term (10-20 years) infrastructure needs assessment or other capital plan with a horizon beyond six years been prepared?

YES. THE AUTHORITY DID A CLEAN WATER NEEDS ANALYSIS.

4. Describe the projected impact of the proposed capital projects, including impact on the schedule of rates, fees, and service charges and the impact on current and future year's schedules.

NOT APPLICABLE.

5. Please indicate which capital projects/project financings are being undertaken in the Metropolitan or Suburban Planning Areas as defined in the State Development and Redevelopment Plan.

NOT APPLICABLE.

6. Please indicate which capital projects/project financings are being undertaken within the boundary of a State Planning Commission-designated Center and/or Endorsed Plan and if the project was included in the Plan Implementation Agenda for that Center/Endorsed Plan.

NOT APPLICABLE.

Add additional sheets if necessary.

Proposed Capital Budget

Rockaway Valley Regional Sewerage Authority
 For the Period January 1, 2019 to December 31, 2019

	Estimated Total Cost	<i>Funding Sources</i>				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<i>Sewer</i>						
See attached "CB-3a"	\$ 22,269,300	\$1,607,000	\$0	\$20,292,300	\$0	\$370,000
N/A	-					
N/A	-					
N/A	-					
Total	22,269,300	1,607,000	-	20,292,300	-	370,000
<i>N/A</i>						
N/A	-					
N/A	-					
N/A	-					
N/A	-					
Total	-	-	-	-	-	-
<i>N/A</i>						
N/A	-					
N/A	-					
N/A	-					
N/A	-					
Total	-	-	-	-	-	-
<i>N/A</i>						
N/A	-					
N/A	-					
N/A	-					
N/A	-					
Total	-	-	-	-	-	-
<i>N/A</i>						
N/A	-					
N/A	-					
N/A	-					
N/A	-					
Total	-	-	-	-	-	-
<i>N/A</i>						
N/A	-					
N/A	-					
N/A	-					
N/A	-					
Total	-	-	-	-	-	-
TOTAL PROPOSED CAPITAL BUDGET	\$ 22,269,300	\$ 1,607,000	\$ -	\$ 20,292,300	\$ -	\$ 370,000

Enter brief description of up to four projects for each operation above. For operations with more than four budgeted projects, please attach additional schedules. Input total amount of all projects for the operation on single line and enter "See Attached Schedule" instead of project description.

2015 Proposed Capital Budget

Rockaway Valley Regional Sewerage Authority
For the Period January 1, 2018 to December 31, 2018

	Estimated Total Cost	<i>Funding Sources</i>				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<i>Sewer</i>						
Administrative Capital Projects						
Computer Plotter& Software	10,000	10,000				
File Cabinet/ Furniture	5,000	5,000				
Security Surveillance	18,000	18,000				
Miscellaneous	8,000	8,000				
Fireman Home Access Agreement	20,000	20,000				
Engineering Capital Projects						
Odor Control Equipments	50,000	50,000				
Infrastructure Upgrade	175,000	175,000				
Electrical Breakers	80,000	80,000				
Building Misc. Upgrade	17,000	17,000				
Emergency Generator & Substation	345,000	345,000				
Filtration, P Removal (Contract 41)	100,000	100,000				
Asset Management	20,000	20,000				
Dog House for Water	30,000	30,000				
Boonton Interceptor Relining	370,000	-			370,000	
IPP Equipment	3,000	3,000				
Laboratory Capital Projects						
Laboratory Equipment	11,000	11,000				
Operation Capital Projects						
Oxidation Equipment	90,000	90,000				
Pumping Equipment	44,000	44,000				
Vehicles	60,000	60,000				
Facilities Building Repair	124,000	124,000				
Miscellaneous Equipment	67,000	67,000				
Grit Conveyor	100,000	100,000				
Trunkline Capital Projects						
Trunkline Equipment	80,000	80,000				
Meter Chamber Misc Equip	150,000	150,000				
Environmental Infrastructure Trust Prog-Debt Authorized						
NJEIT-Debt Authorized, Interceptor	992,300		992,300			
NJEIT Debt Authorized Emergency Generator	3,000,000		3,000,000			
NJEIT Debt Authorized Final Clarifiers D & C	5,500,000		5,500,000			
NJEIT Debt Authorized Phosphorous	7,000,000		7,000,000			
NJEIT Debt Authorized Boonton Interceptor	3,800,000		3,800,000			
Total	<u>\$22,269,300</u>	<u>\$1,607,000</u>	<u>\$0</u>	<u>\$20,292,300</u>	<u>\$0</u>	<u>\$370,000</u>

5 Year Capital Improvement Plan

Rockaway Valley Regional Sewerage Authority

For the Period January 1, 2019 to December 31, 2019

Fiscal Year Beginning in

	Estimated Total Cost	Current Budget					
		Year 2019	2020	2021	2022	2023	2024
<i>Sewer</i>							
See attached "CB-3a"	\$ 22,269,300	\$ 22,269,300					
N/A	-	-					
N/A	-	-					
N/A	-	-					
Total	<u>22,269,300</u>	<u>22,269,300</u>	-	-	-	-	-
<i>N/A</i>							
N/A	-	-					
N/A	-	-					
N/A	-	-					
N/A	-	-					
Total	<u>-</u>	<u>-</u>	-	-	-	-	-
<i>N/A</i>							
N/A	-	-					
N/A	-	-					
N/A	-	-					
N/A	-	-					
Total	<u>-</u>	<u>-</u>	-	-	-	-	-
<i>N/A</i>							
N/A	-	-					
N/A	-	-					
N/A	-	-					
N/A	-	-					
Total	<u>-</u>	<u>-</u>	-	-	-	-	-
<i>N/A</i>							
N/A	-	-					
N/A	-	-					
N/A	-	-					
N/A	-	-					
Total	<u>-</u>	<u>-</u>	-	-	-	-	-
TOTAL	<u><u>\$ 22,269,300</u></u>	<u><u>\$ 22,269,300</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

5 Year Capital Improvement Plan Funding Sources

Rockaway Valley Regional Sewerage Authority

For the Period January 1, 2019 to December 31, 2019

		<i>Funding Sources</i>				
		Estimated Total Cost	Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants Other Sources
<i>Sewer</i>						
	See attached "CB-3a"	\$ 22,269,300	\$1,607,000	\$0	\$20,292,300	\$0 \$370,000
	N/A	-				
	N/A	-				
	N/A	-				
	Total	22,269,300	1,607,000	-	20,292,300	- 370,000
<i>N/A</i>						
	N/A	-				
	N/A	-				
	N/A	-				
	N/A	-				
	Total	-	-	-	-	-
<i>N/A</i>						
	N/A	-				
	N/A	-				
	N/A	-				
	N/A	-				
	Total	-	-	-	-	-
<i>N/A</i>						
	N/A	-				
	N/A	-				
	N/A	-				
	N/A	-				
	Total	-	-	-	-	-
<i>N/A</i>						
	N/A	-				
	N/A	-				
	N/A	-				
	N/A	-				
	Total	-	-	-	-	-
<i>N/A</i>						
	N/A	-				
	N/A	-				
	N/A	-				
	N/A	-				
	Total	-	-	-	-	-
TOTAL		\$ 22,269,300	\$ 1,607,000	\$ -	\$ 20,292,300	\$ - \$ 370,000
Total 5 Year Plan per CB-4		\$ 22,269,300				

Balance check - If amount is other than zero, verify that projects listed above match projects listed on CB-4.

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.