

THIS AGREEMENT, made this 1st day of January, 2018 BY AND BETWEEN

**ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY**,  
a public corporation in the County of Morris and State of New Jersey, hereinafter referred to the "Authority",

AND

**HAWKINS, DELAFIELD & WOOD, LLP** with offices at One Gateway Center, Newark, New Jersey 07102, hereinafter referred to as "CONTRACTOR"

WITNESSETH:

For and in consideration of these presents, and their mutual promises and other good and valuable consideration in hand paid by one party to the other, the receipt of which is hereby acknowledged, the parties hereto agree as follows:

1. Contractor agrees to provide Bond Counsel Services, to the Rockaway Valley Regional Sewerage Authority (Authority), as more specifically set forth in the Proposal for Appointment ("Proposal"), dated December 4, 2017 incorporated herein by reference and made a part hereof for the **period of January 1, 2018 to December 31, 2018.**

2. Upon performance by Contractor, the Authority agrees to pay to Contractor in accordance with the terms and conditions set forth in said Proposal attached hereto and made a part hereof.

3. Termination - Either the AUTHORITY or the CONTRACTOR may terminate this Agreement without advance notice and effective immediately for cause which, on the part of the CONTRACTOR shall be for breach of the terms and conditions of this Agreement, and, on the part of the AUTHORITY, shall be for failure to make the payments under the terms of this Agreement; or, otherwise, with or without cause, upon ten (10) days advance written notice to the other party. Upon delivery of such notice by AUTHORITY, CONTRACTOR shall immediately cease work and deliver to AUTHORITY all work in progress and return all AUTHORITY

Information and any AUTHORITY-owned materials and/or equipment. If the AUTHORITY exercises its right to terminate this Agreement, any obligation it may otherwise have under this Agreement shall cease immediately, provided that the AUTHORITY shall only be obligated to pay CONTRACTOR monies owed CONTRACTOR up to the time of termination for services actually performed.

4. MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE, N.J.S.A. 10:5-31 et seq. (P.L. 1975, C. 127), N.J.A.C. 17:27.

During the performance of this contract, the contractor agrees as follows:

The contractor or subcontractor, where applicable, will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Except with respect to affectional or sexual orientation and gender identity or expression, the contractor will ensure that equal employment opportunity is afforded to such applicants in recruitment and employment, and that employees are treated during employment, without regard to their age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Such equal employment opportunity shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Public Agency Compliance Officer setting forth provisions of this nondiscrimination clause,

The contractor or subcontractor, where applicable will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that an qualified applicants will receive consideration for employment without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex.

The contractor or subcontractor will send to each labor union, with which it has a

collective bargaining agreement, a notice, to be provided by the agency contracting officer, advising the labor union of the contractor's commitments under this chapter and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

The contractor or subcontractor, where-applicable, agrees to comply with any regulations promulgated by the Treasurer pursuant to N.J.S.A. 10:5-31 et seq., as amended and supplemented from time to time and the Americans with Disabilities Act.

The contractor or subcontractor agrees to make good faith efforts to meet targeted county employment goals established in accordance with N.J.A.C. 17:27 - 5.2.

The contractor or subcontractor agrees to inform in writing its appropriate recruitment agencies including, but not limited to, employment agencies, placement bureaus, colleges, universities, and labor unions, that it does not discriminate on the basis of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability; nationality or sex, and that it will discontinue the use of any recruitment agency which engages in direct or indirect discriminatory practices.

The contractor or subcontractor agrees to revise any of its testing procedures, if necessary, to assure that all personnel testing conforms with the principles of job-related testing, as established by the statutes and court decisions of the State of New Jersey and as established by applicable Federal law and applicable Federal court decisions.

In conforming with the targeted employment goals, the contractor or subcontractor agrees to review all procedures relating to transfer, upgrading, downgrading and layoff to ensure that all such actions are taken without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex consistent with the statutes and court decisions of the State of New Jersey, and applicable Federal law and applicable Federal court decisions.

The contractor shall submit to the public agency, after notification of award but prior to execution of a goods and services contract, one of the following three documents:

Letter of Federal Affirmative Action Plan Approval

Certificate of Employee Information Report

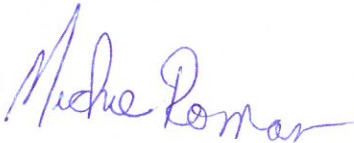
Employee Information Report Form AA302 (electronically provided by the Division and distributed to the public agency through the Division's website at [www.state.nj.us/treasury/contract\\_compliance](http://www.state.nj.us/treasury/contract_compliance))

The contractor and its subcontractors shall furnish such reports or other documents to the Division of Public Contracts Equal Employment Opportunity Compliance as may be requested by the office from time to time in order to carry out the purposes of these regulations, and public agencies shall furnish such information as may be requested by the Division of Public Contracts Equal Employment Opportunity Compliance for conducting a compliance investigation pursuant to Subchapter 10 of the Administrative Code at N.J.A.C. 17:27.

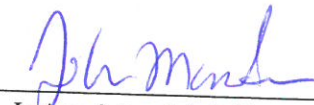
IN WITNESS WHEREOF, said Authority has caused these presents to be signed by its Executive Director, and attested by its Secretary, and has caused its official seal to be affixed hereto and said Contractor has caused the corporate seal to be affixed and attested thereto, and these presents to be signed by its Partner, the day and date first above written.

ROCKAWAY VALLEY REGIONAL  
SEWERAGE AUTHORITY

ATTEST:



BY:

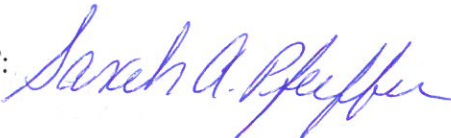


JoAnn Mondsini, Executive Director

(SEAL)

HAWKINS DELAFIELD & WOOD LLP

ATTEST:



BY:



Robert H. Beinfield, Partner

(SEAL)

**SARAH A. PFEIFFER**  
NOTARY PUBLIC OF NEW JERSEY  
MY COMMISSION EXPIRES MARCH 26, 2019

*Hawkins*  
DELAFIELD & WOOD LLP



A NEW YORK LIMITED LIABILITY PARTNERSHIP

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MICHELLE A. LOUCOPOLOS  
ROBERT A. ERNST  
MEGAN I. SARTOR  
DONGYU "EDDIE" WANG  
NILES B. MURPHY

December 4, 2017

The Rockaway Valley Regional Sewerage Authority

Ms. JoAnn Mondsin  
Executive Director  
The Rockaway Valley Regional Sewerage Authority  
R.D. #1, 99 Green Bank Road  
Boonton, New Jersey 07005-9602

Dear JoAnn:

Thank you for your letter of November 27, 2017 inviting Hawkins Delafield & Wood LLP to submit a schedule of charges for bond counsel services. As you know, during the year bond counsel is often consulted for advice on various matters that are not necessarily related to a bond issue. For example, questions frequently arise requiring interpretation of legal documents drafted by bond counsel such as service contracts and bond resolutions or guidance may be sought with respect to requirements imposed by either the federal continuing disclosure regulations or the existing federal tax, arbitrage and rebate regulations.

Hawkins Delafield & Wood LLP is proud to have served as bond counsel to the Authority in connection with all bond and project bond issues of the Authority to date, including the 2007 Subordinate Bonds, the 2010 Subordinate Bonds and the 2012 Subordinate Bonds issued in connection with the NJEIT financing program, to have drafted the Authority's General Bond Resolution and all ten of the Authority's Supplemental Resolutions, to have drafted the Authority's 2007 Subordinate Bond Resolution, 2010 Subordinate Bond Resolution, 2012 Subordinate Bond Resolution, 2014 Bond Resolution, Bond Resolution relating to the Clarifiers Project and Bond Resolution relating to the West Main Street Project, to have participated in the drafting of the Authority's 1976 Service Contract and to have assisted in the preparation of the Authority's disclosure documents (i.e., Official Statements) which were distributed to the public bond markets and the investment banking community. We respectfully believe that this continued, extensive and unique experience with the Authority and its professionals will allow Hawkins Delafield & Wood LLP to respond efficiently, effectively and accurately to any matter requiring bond counsel attention.

Our fee for general bond counsel advisory services would be based on work undertaken and actual time expended plus out-of-pocket disbursements, which are usually minimal, for items such as duplicating, express mail, postage and telephone expenses. We would propose the following hourly billing rates **(which reflect no increase from our 2017 rates and are**

identical to rates we have had in effect for several years): partner/counsel \$295 per hour, associate \$195 per hour and paralegal \$95 per hour.


For bond counsel work in connection with a bond issue, we would typically propose a fixed "all in" fee based upon, among other things, the type of bonds being issued (i.e., short-term, long-term, fixed rate, variable rate, refunding, capital appreciation, etc.), the documentation in addition to the standard bond resolutions that we may be asked to prepare (i.e., the Local Finance Board Application, Preliminary and Final Official Statements, NJEIT application, etc.), any additional contracts or paperwork that we may be asked to review or comment upon (i.e., letters of credit, insurance documentation, surety bonds, investment contracts, derivative products, etc.), special federal tax or securities law matters, and other unique issues. For example, for many years our fixed fee for an NJEIT financing has been \$35,000 plus disbursements. Recently, the NJEIT imposed a new short-term construction loan requirement. We do not propose increasing our fee for this work. We would, however, invoice \$10,000 of the fee at the time of the construction loan closing and the balance of the fee at the time of the permanent loan closing. If there were to be an additional short-term construction loan closing in connection with a project, we would have an additional fixed fee of \$10,000 for work associated with such loan and closing.

As requested, I am enclosing the Business Entity Disclosure Certification, the Chapter 271 Political Contribution Disclosure Form and the Statement of Ownership Disclosure. In addition, I am enclosing a proposed form of Professional Services Agreement that contains certain State recommended statutory references and the Mandatory Equal Employment Opportunity Language, our New Jersey Business Registration Certificate and a copy of our Certificate of Employee Information Report from the State Treasurer.

We have had the privilege of serving the Authority and working with its professionals for many years and look forward to the opportunity to continue our long-standing relationship this year. If you have any questions with regard to the above or if there is anything further we can do or provide at this time, please do not hesitate to contact me.

With best regard, I am

Very truly yours,

  
Robert H. Beinfield

RHB:gn  
Enclosures  
Federal Express

**BUSINESS ENTITY DISCLOSURE CERTIFICATION**  
**FOR NON-FAIR AND OPEN CONTRACTS**  
 Required Pursuant To N.J.S.A. 19:44A-20.8  
**THE ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY**

**Part I – Vendor Affirmation**

The undersigned, being authorized and knowledgeable of the circumstances, does hereby certify that **Hawkins Delafield & Wood LLP** has not made and will not make any reportable contributions pursuant to N.J.S.A. 19:44A-1 et seq. that, pursuant to P.L. 2004, c. 19 would bar the award of this contract in the one year period preceding **January 1, 2018** to any of the following named candidate committee, joint candidates committee; or political party committee representing the elected officials of the **Rockaway Valley Regional Sewerage Authority, New Jersey**, as defined pursuant to N.J.S.A. 19:44A-3(p), (q) and (r).

<b>See attached list</b>	

**Part II – Ownership Disclosure Certification**

I certify that the list below contains the names and home addresses of all owners holding 10% or more of the issued and outstanding stock of the undersigned.

**Check the box that represents the type of business entity:**

- Partnership     
  Corporation     
  Sole Proprietorship     
  Subchapter S Corporation  
 Limited Partnership   
  Limited Liability Corporation     
  Limited Liability Partnership

<b>Name of Stock or Shareholder</b>	<b>Home Address</b>
<b>None</b>	

**Part 3 – Signature and Attestation:**

The undersigned is fully aware that if I have misrepresented in whole or part this affirmation and certification, I and/or the business entity, will be liable for any penalty permitted under law.

Name of Business Entity: **Hawkins Delafield & Wood LLP**

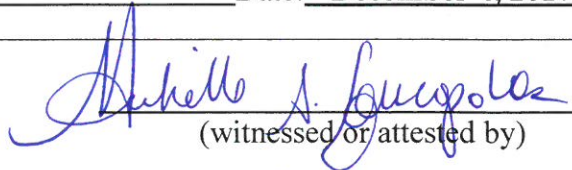
Signature of Affiant:  Title: **Partner**

Printed Name of Affiant: **Robert H. Beinfield** Date: **December 4, 2017**

Subscribed and sworn before me this **4th** day of **December, 2017**.

My Commission expires:

n/a

  
 (witnessed or attested by)

Attorney at Law, State of New Jersey

**Attachment to Business Entity Disclosure Certification**  
**For Non-Fair and Open Contracts**  
For  
**Rockaway Valley Regional Sewerage Authority**  
**2018 List of Elected Officials pursuant to N.J.S.A. 19:44A-20.8**

**Town of Boonton**

Any contribution to candidate committee or political party committee to elect the following Mayor and the Board of Aldermen:

Matthew DiLauri, Mayor  
Michael Eoga  
James Lynch  
Daniel Piccioni  
Keith Price  
Scott Minter  
James Plaisted  
Bob Tullock  
Cyril Wekilsky  
Edina Renfro-Michel  
William McBride

And  
Town of Boonton Republican Committee

**Township of Boonton**

Any contribution to candidate committee or political party committee to elect the following Mayor and Committee members:

Thomas Donadio, Mayor  
Robert A. Rizzo  
Michelle Rankin  
Paul Allieri  
William Klingener

**Town of Dover**

Any contribution to candidate committee or political party committee to elect the following Mayor and the Board Aldermen:

James P. Dodd, Mayor  
William O'Conner  
Cindy Romaine  
Steven Toth  
James Visioli  
Carolyn Blackman  
Ronald Camacho  
Thomas MacDonald  
Michael Picciallo

And  
MMHS Citizens for a Good Government



Dover Democratic Committee  
Dover Republican Club

#### **Township of Denville**

Any contribution to candidate committee or political party committee to elect the following Mayor and Council members:

Thomas W. Andes, Mayor  
Gene Fitzpatrick  
Doug Gabel  
Christopher Golinski  
Donald Kuser  
Stephanie Lyden  
John Murphy  
Nancy Witte  
Brian Bergen  
Glen R. Buie  
Gary Borowiec

And  
Township of Denville Republican Committee  
Township of Denville Democratic Committee

#### **Township of Randolph**

Any contribution to candidate committee or political party committee to elect the following Mayor and Council members:

Christine Carey, Mayor  
Mark Forstenhausler, Deputy Mayor  
Michael Guadagno  
James Loveys  
Allen Napoliello  
Lance Tkacs  
Joanne Veech  
Joe Faranetta  
Ron Conti  
Chris Treston

And  
Friends of Napoliello,  
Fund to Elect Allen Napoliello  
Randolph Republican County Committee  
Randolph Democratic Committee

**Borough of Rockaway**

Any contribution to candidate committee or political party committee to elect the following Mayor and Council members:

Russell Greuter, Mayor  
Joyce Kanigel  
Thomas Mulligan  
Patrick McDonald  
John L. Willer, Jr.  
Melissa Burnside  
Robert Smith

And  
Rockaway Borough Republican Committee

**Township of Rockaway**

Any contribution to candidate committee or political party committee to elect the following Mayor and Committee members:

Michael Dachisen, Mayor  
Daniel Anello  
Stephen Antonelli  
Frank Berman  
Dr. Alexander Gellman  
John J. Quinn  
Donald Reddin  
Patricia Abrahamsen  
Jeremy Jedynak  
Michael Puzio  
Victor "Vic" Palumbo  
Phyllis I. Smith  
Jonathan Sackett  
Emanuel (Manny) Friedlander  
Tucker M. Kelley

And  
Rockaway Township Republican Committee  
Rockaway Township Democratic Committee  
The Candidate Committee  
Joint Candidate Committee

**Borough of Victory Gardens**

Any contribution to candidate committee or political party committee to elect the following Mayor and Council members:

David Holeman, Jr., Mayor  
Wayne Jacobus  
Ismael Lorenzo, Sr.  
Ondria Garcia-Montes  
Veronica Hedgepath  
Vera Cheatham

James Glass  
Tara White

And  
Victory Gardens Democratic Committee  
Victory Gardens Republican Committee  
Victory Gardens Committee to Elect David Holeman, Jr.

#### **Borough of Wharton**

Any contribution to candidate committee or political party committee to elect the following Mayor and Committee members:

Wharton Republican Committee Members for

William J. Chegwidde, Mayor

Vincent Binkoski

Sandra Hayes

Nicole Wickenhuser

Thomas Yeager

Glenn Corbett

Patricia L. Veres

Republican Committee Members:

Cheryl Manna, John Manna, Karen Skelly, Stephen Skelly, Barbara Gillen, John Janin, Jean Bronock-Zaccone, Anthony D'Agostino, Sandy Hayes, Steve Machuga.

Democratic Committee Members:

Jeffrey Kazanchy, Sondra Kaufman, William Kersey, Sylvia Kersey, John Santana, Ann Schreck.

#### **City of Jersey City**

Any contribution to candidate committee or political party committee to elect the following Mayor and Council members:

Steven M. Fulop, Mayor

Mark Albiez (Chief of Staff)

Vivian Brady-Phillips, Deputy Mayor

Marco Vigil, Deputy Mayor

Rolando R. Lavarro, Jr.

Joyce Watterman

Daniel Rivera

Frank Gajewski

Chris L. Gadsden

Richard Boggiano

Michael Yun

Candice Osborne

Jermaine Robinson

Denise Ridley

Mira Prinz-Arey

Rebecca Symes

**BUSINESS ENTITY DISCLOSURE CERTIFICATION**  
**FOR NON-FAIR AND OPEN CONTRACTS**  
Required Pursuant To N.J.S.A. 19:44A-20.8  
**THE ROCKAWAY VALLEY REGIONAL SEWERAGE AUTHORITY**

The following is statutory text related to the terms and citations used in the Business Entity Disclosure Certification form.

**“Local Unit Pay-To-Play Law” (P.L. 2004, c.19, as amended by P.L. 2005, c.51)**

**19:44A-20.6 Certain contributions deemed as contributions by business entity.**

5. When a business entity is a natural person, a contribution by that person's spouse or child, residing therewith, shall be deemed to be a contribution by the business entity. When a business entity is other than a natural person, a contribution by any person or other business entity having an interest therein shall be deemed to be a contribution by the business entity.

**19:44A-20.7 Definitions relative to certain campaign contributions.**

6. As used in sections 2 through 12 of this act:

“business entity” means any natural or legal person, business corporation, professional services corporation, limited liability company, partnership, limited partnership, business trust, association or any other legal commercial entity organized under the laws of this State or of any other state or foreign jurisdiction;

“interest” means the ownership or control of more than 10% of the profits or assets of a business entity or 10% of the stock in the case of a business entity that is a corporation for profit, as appropriate;

**Temporary and Executing**

12. Nothing contained in this act shall be construed as affecting the eligibility of any business entity to perform a public contract because that entity made a contribution to any committee during the one-year period immediately preceding the effective date of this act.

~~~~~  
**The New Jersey Campaign Contributions and Expenditures Reporting Act (N.J.S.A. 19:44A-1 et seq.)**

**19:44A-3 Definitions.** In pertinent part...

p. The term "political party committee" means the State committee of a political party, as organized pursuant to R.S.19:5-4, any county committee of a political party, as organized pursuant to R.S.19:5-3, or any municipal committee of a political party, as organized pursuant to R.S.19:5-2.

q. The term "candidate committee" means a committee established pursuant to subsection a. of section 9 of P.L.1973, c.83 (C.19:44A-9) for the purpose of receiving contributions and making expenditures.

r. the term "joint candidates committee" means a committee established pursuant to subsection a. of section 9 of P.L.1973, c.83 (C.19:44A-9) by at least two candidates for the same elective public offices in the same election in a legislative district, county, municipality or school district, but not more candidates than the total number of the same elective public offices to be filled in that election, for the purpose of receiving contributions and making expenditures. For the purpose of this subsection: ...; the offices of member of the board of chosen freeholders and county executive shall be deemed to be the same elective public offices in a county; and the offices of mayor and member of the municipal governing body shall be deemed to be the same elective public offices in a municipality.

**19:44A-8 and 16 Contributions, expenditures, reports, requirements.**

*While the provisions of this section are too extensive to reprint here, the following is deemed to be the pertinent part affecting amounts of contributions:*

“The \$300 limit established in this subsection shall remain as stated in this subsection without further adjustment by the commission in the manner prescribed by section 22 of P.L.1993, c.65 (C.19:44A-7.2)



**List of Agencies with Elected Officials Required for Political Contribution Disclosure  
N.J.S.A. 19:44A-20.26**

**County Name: Morris**

State: Governor, and Legislative Leadership Committees

Legislative District #: 16, 21, 24, 25 & 26

State Senator and two members of the General Assembly per district.

County:

Freeholders

County Clerk

Sheriff

Surrogate

Municipalities (Mayor and members of governing body, regardless of title):

|                       |                         |                                |
|-----------------------|-------------------------|--------------------------------|
| Boonton Town          | Jefferson Township      | Mount Olive Township           |
| Boonton Township      | Kinnelon Borough        | Mountain Lakes Borough         |
| Butler Borough        | Lincoln Park Borough    | Netcong Borough                |
| Chatham Borough       | Long Hill Township      | Parsippany-Troy Hills Township |
| Chatham Township      | Madison Borough         | Pequannock Township            |
| Chester Borough       | Mendham Borough         | Randolph Township              |
| Chester Township      | Mendham Township        | Riverdale Borough              |
| Denville Township     | Mine Hill Township      | Rockaway Borough               |
| Dover Town            | Montville Township      | Rockaway Township              |
| East Hanover Township | Morris Plains Borough   | Roxbury Township               |
| Florham Park Borough  | Morris Township         | Victory Gardens Borough        |
| Hanover Township      | Morristown Town         | Washington Township            |
| Harding Township      | Mount Arlington Borough | Wharton Borough                |

Boards of Education (Members of the Board):

|                       |                         |                                |
|-----------------------|-------------------------|--------------------------------|
| Boonton Town          | Lincoln Park Borough    | Netcong Borough                |
| Boonton Township      | Long Hill Township      | Parsippany-Troy Hills Township |
| Butler Borough        | Madison Borough         | Pequannock Township            |
| Chester Township      | Mendham Borough         | Randolph Township              |
| Denville Township     | Mendham Township        | Riverdale Borough              |
| Dover Town            | Mine Hill Township      | Rockaway Borough               |
| East Hanover Township | Montville Township      | Rockaway Township              |
| Florham Park Borough  | Morris                  | Roxbury Township               |
| Hanover Park Regional | Morris Hills Regional   | Sch Dist Of The Chathams       |
| Hanover Township      | Morris Plains Borough   | Victory Gardens                |
| Harding Township      | Mount Arlington Borough | Washington Township            |
| Jefferson Township    | Mount Olive Township    | West Morris Regional           |
| Kinnelon Borough      | Mountain Lakes Borough  | Wharton Borough                |

(continued on next page)

Fire Districts (Board of Fire Commissioners):

Hanover Township Fire District No. 2  
Hanover Township Fire District No. 3  
Montville Township Fire District No. 1  
Montville Township Fire District No. 2  
Montville Township Fire District No. 3  
Parsippany-Troy Hills Township Fire District No 1  
Parsippany-Troy Hills Township Fire District No 2

Parsippany-Troy Hills Township Fire District No 3  
Parsippany-Troy Hills Township Fire District No 4  
Parsippany-Troy Hills Township Fire District No 5  
Parsippany-Troy Hills Township Fire District No 6  
Parsippany-Troy Hills Township Fire District No. 7  
Parsippany-Troy Hills Township Fire District No. 8

**List of Agencies with Elected Officials Required for Political Contribution Disclosure  
N.J.S.A. 19:44A-20.26**

**County Name: Hudson**

State: Governor, and Legislative Leadership Committees

Legislative District #: 31, 32, & 33

State Senator and two members of the General Assembly per district.

County:

Freeholders

County Clerk

Sheriff

County Executive

Surrogate

Registrar of Deeds

Municipalities (Mayor and members of governing body, regardless of title):

Bayonne City

Hoboken City

Secaucus Town

East Newark Borough

Jersey City

Union City City

Guttenberg Town

Kearny Town

Weehawken Township

Harrison Town

North Bergen Township

West New York Town

Boards of Education (Members of the Board):

East Newark Borough

Kearny Town

Weehawken Township

Guttenberg Town

North Bergen Township

Hoboken City

Secaucus Town

Fire Districts (Board of Fire Commissioners):

(None)



**STATEMENT OF OWNERSHIP DISCLOSURE**

N.J.S.A. 52:25-24.2 (P.L. 1977, c.33, as amended by P.L. 2016, c.43)

**This statement shall be completed, certified to, and included with all bid and proposal submissions. Failure to submit the required information is cause for automatic rejection of the bid or proposal.**

**Name of Organization:** Hawkins Delafield & Wood LLP

**Organization Address:** One Gateway Center, Newark, New Jersey 07102

**Part I Check the box that represents the type of business organization:**

- Sole Proprietorship (skip Parts II and III, execute certification in Part IV)
- Non-Profit Corporation (skip Parts II and III, execute certification in Part IV)
- For-Profit Corporation (any type)     Limited Liability Company (LLC)
- Partnership     Limited Partnership     Limited Liability Partnership (LLP)
- Other (be specific): \_\_\_\_\_

**Part II**

The list below contains the names and addresses of all stockholders in the corporation who own 10 percent or more of its stock, of any class, or of all individual partners in the partnership who own a 10 percent or greater interest therein, or of all members in the limited liability company who own a 10 percent or greater interest therein, as the case may be. **(COMPLETE THE LIST BELOW IN THIS SECTION)**

**OR**

No one stockholder in the corporation owns 10 percent or more of its stock, of any class, or no individual partner in the partnership owns a 10 percent or greater interest therein, or no member in the limited liability company owns a 10 percent or greater interest therein, as the case may be. **(SKIP TO PART IV)**

(Please attach additional sheets if more space is needed):

| Name of Individual or Business Entity | Home Address (for Individuals) or Business Address |
|---------------------------------------|----------------------------------------------------|
|                                       |                                                    |
|                                       |                                                    |
|                                       |                                                    |
|                                       |                                                    |

**Part III DISCLOSURE OF 10% OR GREATER OWNERSHIP IN THE STOCKHOLDERS, PARTNERS OR LLC MEMBERS LISTED IN PART II**

If a bidder has a direct or indirect parent entity which is publicly traded, and any person holds a 10 percent or greater beneficial interest in the publicly traded parent entity as of the last annual federal Security and Exchange Commission (SEC) or foreign equivalent filing, ownership disclosure can be met by providing links to the website(s) containing the last annual filing(s) with the federal Securities and Exchange Commission (or foreign equivalent) that contain the name and address of each person holding a 10% or greater beneficial interest in the publicly traded parent entity, along with the relevant page numbers of the filing(s) that contain the information on each such person. **Attach additional sheets if more space is needed.**

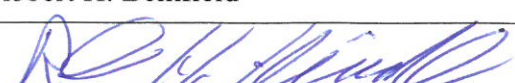
| Website (URL) containing the last annual SEC (or foreign equivalent) filing | Page #'s |
|-----------------------------------------------------------------------------|----------|
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|                                                                             |          |
|                                                                             |          |

Please list the names and addresses of each stockholder, partner or member owning a 10 percent or greater interest in any corresponding corporation, partnership and/or limited liability company (LLC) listed in Part II other than for any publicly traded parent entities referenced above. The disclosure shall be continued until names and addresses of every noncorporate stockholder, and individual partner, and member exceeding the 10 percent ownership criteria established pursuant to N.J.S.A. 52:25-24.2 has been listed. **Attach additional sheets if more space is needed.**

| Stockholder/Partner/Member and Corresponding Entity Listed in Part II | Home Address (for Individuals) or Business Address |
|-----------------------------------------------------------------------|----------------------------------------------------|
|                                                                       |                                                    |
|                                                                       |                                                    |
|                                                                       |                                                    |

**Part IV Certification**

I, being duly sworn upon my oath, hereby represent that the foregoing information and any attachments thereto to the best of my knowledge are true and complete. I acknowledge: that I am authorized to execute this certification on behalf of the bidder/proposer; that the **Rockaway Valley Regional Sewerage Authority** is relying on the information contained herein and that I am under a continuing obligation from the date of this certification through the completion of any contracts with **Rockaway Valley Regional Sewerage Authority** to notify the **Rockaway Valley Regional Sewerage Authority** in writing of any changes to the information contained herein; that I am aware that it is a criminal offense to make a false statement or misrepresentation in this certification, and if I do so, I am subject to criminal prosecution under the law and that it will constitute a material breach of my agreement(s) with the, permitting the **Rockaway Valley Regional Sewerage Authority** to declare any contract(s) resulting from this certification void and unenforceable.

|                    |                                                                                     |        |                  |
|--------------------|-------------------------------------------------------------------------------------|--------|------------------|
| Full Name (Print): | Robert H. Beinfield                                                                 | Title: | Partner          |
| Signature:         |  | Date:  | December 4, 2017 |

**CERT-1**

08/23/04

Taxpayer Identification# **135-513-990/000**

Dear Business Representative:

Congratulations! You are now registered with the New Jersey Division of Revenue.

Use the Taxpayer Identification Number listed above on all correspondence with the Divisions of Revenue and Taxation, as well as with the Department of Labor (if the business is subject to unemployment withholdings). Your tax returns and payments will be filed under this number, and you will be able to access information about your account by referencing it.

Additionally, please note that State law requires all contractors and subcontractors with Public agencies to provide proof of their registration with the Division of Revenue. The law also amended Section 92 of the Casino Control Act, which deals with the casino service industry.

We have attached a Proof of Registration Certificate for your use. To comply with the law, if you are currently under contract or entering into a contract with a State agency, you must provide a copy of the certificate to the contracting agency.

If you have any questions or require more information, feel free to call our Registration Hotline at (609)292-1730.

I wish you continued success in your business endeavors.

Sincerely,

*John E. Tully*  
John E. Tully, CPA  
Acting Director

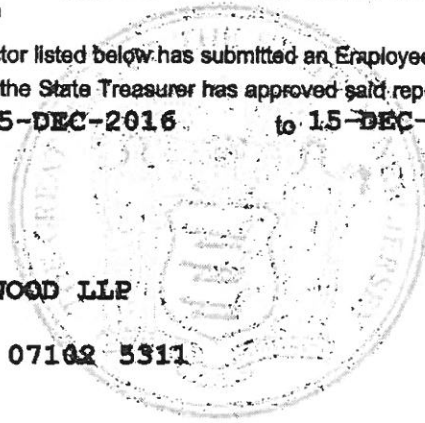
|                                                                  |                                                                                                                         |                                                                                         |
|------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| <b>STATE OF NEW JERSEY<br/>BUSINESS REGISTRATION CERTIFICATE</b> |                                                                                                                         | DEPARTMENT OF TREASURY/<br>DIVISION OF REVENUE<br>PO BOX 252<br>TRENTON, N J 08646-0252 |
| TAXPAYER NAME:<br><b>HAWKINS, DELAFIELD &amp; WOOD</b>           | TRADE NAME:                                                                                                             |                                                                                         |
| TAXPAYER IDENTIFICATION#:<br><b>135-513-990/000</b>              | SEQUENCE NUMBER:<br><b>0099428</b>                                                                                      |                                                                                         |
| ADDRESS:<br><b>ONE GATEWAY CENTER<br/>NEWARK NJ 07102-5311</b>   | ISSUANCE DATE:<br><b>08/23/04</b>                                                                                       |                                                                                         |
| EFFECTIVE DATE:<br><b>06/02/88</b>                               | <i>John E. Tully</i><br>Acting Director                                                                                 |                                                                                         |
| FORM-BRC(08-01)                                                  | <small>This Certificate is NOT assignable or transferable. It must be conspicuously displayed at above address.</small> |                                                                                         |

Certification 4140

**CERTIFICATE OF EMPLOYEE INFORMATION REPORT  
RENEWAL**

This is to certify that the contractor listed below has submitted an Employee Information Report pursuant to N.J.A.C. 17:27-1.1 et. seq. and the State Treasurer has approved said report. This approval will remain in effect for the period of **15-DEC-2016** to **15-DEC-2019**

**HAWKINS, DELAFIELD & WOOD LLP  
ONE GATEWAY CENTER  
NEWARK NJ 07102 5311**



*Ford M. Scudder*

FORD M. SCUDDER  
State Treasurer